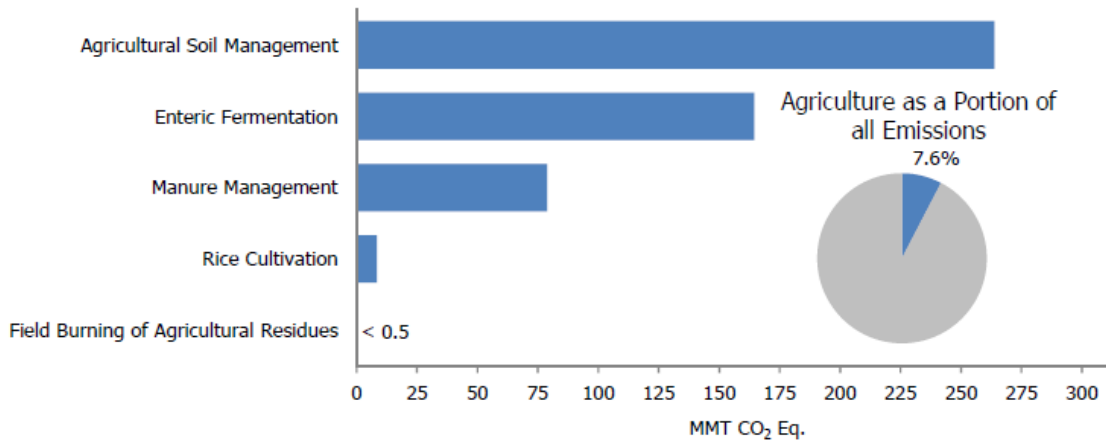# 5. Agriculture

Agricultural activities contribute directly to emissions of greenhouse gases through a variety of processes. This chapter provides an assessment of non-carbon-dioxide emissions from the following source categories: enteric fermentation in domestic livestock, livestock manure management, rice cultivation, agricultural soil management, and field burning of agricultural residues (see Figure 5-1). Carbon dioxide (CO_2) emissions and removals from agriculture-related land-use activities, such as liming of agricultural soils and conversion of grassland to cultivated land, are presented in the Land Use, Land-Use Change, and Forestry chapter. Carbon dioxide emissions from on-farm energy use are accounted for in the Energy chapter.

Figure 5-1: 2013 Agriculture Chapter Greenhouse Gas Emission Sources
Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.

In 2013, the Agriculture sector was responsible for emissions of 515.7 MMT CO_2 Eq., [207] or 7.6 percent of total U.S. greenhouse gas emissions. Methane (CH_4) and nitrous oxide (N_2O) were the primary greenhouse gases emitted by agricultural activities. Methane emissions from enteric fermentation and manure management represent 25.2 percent and 9.4 percent of total CH_4 emissions from anthropogenic activities, respectively. Of all domestic animal types, beef and dairy cattle were by far the largest emitters of CH_4. Rice cultivation and field burning of agricultural residues were minor sources of CH_4. Agricultural soil management activities such as fertilizer application and other cropping practices were the largest source of U.S. N_2O emissions, accounting for 74.4 percent. Manure management and field burning of agricultural residues were also small sources of N_2O emissions.

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[207] Following the revised reporting requirements under the UNFCCC, this Inventory report presents CO_2 equivalent values based on the IPCC Fourth Assessment Report (AR4) GWP values. See the Introduction chapter for more information.

1 Table 5-1 and Table 5-2 present emission estimates for the Agriculture sector. Between 1990 and 2013, CH_4
2 emissions from agricultural activities increased by 11.3 percent, while N_2O emissions fluctuated from year to year,
3 but overall increased by 18.2 percent.

4

5 **Table 5-1: Emissions from Agriculture (MMT CO_2 Eq.)**

Gas/Source	1990	2005	2009	2010	2011	2012	2013
CH₄	**210.8**	**234.4**	**242.1**	**243.4**	**238.9**	**239.6**	**234.5**
Enteric Fermentation	164.2	168.9	172.7	171.1	168.7	166.3	164.5
Manure Management	37.2	56.3	59.7	60.9	61.4	63.7	61.4
Rice Cultivation	9.2	8.9	9.4	11.1	8.5	9.3	8.3
Field Burning of Agricultural Residues	0.3	0.2	0.3	0.3	0.3	0.3	0.3
N₂O	**237.9**	**260.1**	**281.2**	**281.4**	**283.2**	**283.4**	**281.1**
Agricultural Soil Management	224.0	243.6	264.1	264.3	265.8	266.0	263.7
Manure Management	13.8	16.4	17.0	17.1	17.3	17.3	17.3
Field Burning of Agricultural Residues	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Total	**448.7**	**494.5**	**523.3**	**524.8**	**522.1**	**523.0**	**515.7**

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
Note: Totals may not sum due to independent rounding.

6 **Table 5-2: Emissions from Agriculture (kt)**

Gas/Source	1990	2005	2009	2010	2011	2012	2013
CH₄	**8,431**	**9,375**	**9,685**	**9,736**	**9,558**	**9,585**	**9,381**
Enteric Fermentation	6,566	6,755	6,908	6,844	6,750	6,653	6,581
Manure Management	1,486	2,254	2,388	2,437	2,457	2,548	2,456
Rice Cultivation	366	358	378	444	339	372	332
Field Burning of Agricultural Residues	13	9	12	11	12	12	12
N₂O	**798**	**873**	**944**	**944**	**950**	**951**	**943**
Agricultural Soil Management	752	817	886	887	892	892	885
Manure Management	46	55	57	57	58	58	58
Field Burning of Agricultural Residues	+	+	+	+	+	+	+

+ Less than 0.5 kt.
Note: Totals may not sum due to independent rounding.

7

5.1 Enteric Fermentation (IPCC Source Category 4A)

10 Methane is produced as part of normal digestive processes in animals. During digestion, microbes resident in an
11 animal's digestive system ferment food consumed by the animal. This microbial fermentation process, referred to as
12 enteric fermentation, produces CH_4 as a byproduct, which can be exhaled or eructated by the animal. The amount of
13 CH_4 produced and emitted by an individual animal depends primarily upon the animal's digestive system, and the
14 amount and type of feed it consumes.

15 Ruminant animals (e.g., cattle, buffalo, sheep, goats, and camels) are the major emitters of CH_4 because of their
16 unique digestive system. Ruminants possess a rumen, or large "fore-stomach," in which microbial fermentation
17 breaks down the feed they consume into products that can be absorbed and metabolized. The microbial
18 fermentation that occurs in the rumen enables them to digest coarse plant material that non-ruminant animals cannot.
19 Ruminant animals, consequently, have the highest CH_4 emissions per unit of body mass among all animal types.

1 Non-ruminant animals (e.g., swine, horses, and mules and asses) also produce CH_4 emissions through enteric
2 fermentation, although this microbial fermentation occurs in the large intestine. These non-ruminants emit
3 significantly less CH_4 on a per-animal-mass basis than ruminants because the capacity of the large intestine to
4 produce CH_4 is lower.

5 In addition to the type of digestive system, an animal's feed quality and feed intake also affect CH_4 emissions. In
6 general, lower feed quality and/or higher feed intake leads to higher CH_4 emissions. Feed intake is positively
7 correlated to animal size, growth rate, level of activity and production (e.g., milk production, wool growth,
8 pregnancy, or work). Therefore, feed intake varies among animal types as well as among different management
9 practices for individual animal types (e.g., animals in feedlots or grazing on pasture).

10 Methane emission estimates from enteric fermentation are provided in Table 5-3 and Table 5-4. Total livestock CH_4
11 emissions in 2013 were 164.5 MMT CO_2 Eq. (6,581 kt). Beef cattle remain the largest contributor of CH_4 emissions
12 from enteric fermentation, accounting for 71 percent in 2013. Emissions from dairy cattle in 2013 accounted for 25
13 percent, and the remaining emissions were from horses, sheep, swine, goats, American bison, mules and asses.

14 From 1990 to 2013, emissions from enteric fermentation have increased by 0.2 percent. While emissions generally
15 follow trends in cattle populations, over the long term there are exceptions as population decreases have been
16 coupled with production increases or minor decreases. For example, beef cattle emissions decreased 1.7 percent
17 from 1990 to 2013, while beef cattle populations actually declined by 7 percent and beef production increased 13
18 percent (USDA 2014), and while dairy emissions increased 5.7 percent over the entire time series, the population
19 has declined by 5 percent and milk production increased 36 percent (USDA 2014). This trend indicates that while
20 emission factors per head are increasing, emission factors per unit of product are going down. Generally, from 1990
21 to 1995 emissions from beef increased and then decreased from 1996 to 2004. These trends were mainly due to
22 fluctuations in beef cattle populations and increased digestibility of feed for feedlot cattle. Emissions generally
23 increased from 2005 to 2007, as both dairy and beef populations underwent increases and an extensive literature
24 review and analysis of more than 350 dairy cow diets indicated a trend toward a decrease in feed digestibility for
25 those years. Total emissions decreased again from 2008 to 2013 as beef cattle populations again decreased.
26 Regarding trends in other animals, during the timeframe of this analysis, populations of sheep have decreased 53
27 percent while horse populations have increased 60 percent, with each annual increase ranging from about 2 to 9
28 percent between 1990 and 2007, followed by a 2 percent annual decline through 2013. Goat populations increased
29 by about 25 percent through 2007 but have since dropped back to 1990 numbers, while swine populations have
30 increased 22 percent during this timeframe. The population of American bison more than tripled, while mules and
31 asses have more than doubled.

32 **Table 5-3: CH$_4$ Emissions from Enteric Fermentation (MMT CO$_2$ Eq.)**

Livestock Type	1990		2005		2009	2010	2011	2012	2013
Beef Cattle	119.1		125.2		125.5	124.4	121.7	118.7	117.1
Dairy Cattle	39.4		37.6		41.0	40.7	41.1	41.7	41.6
Swine	2.0		2.3		2.5	2.4	2.5	2.5	2.5
Horses	1.0		1.7		1.7	1.7	1.7	1.6	1.6
Sheep	2.3		1.2		1.1	1.1	1.1	1.1	1.1
Goats	0.3		0.4		0.4	0.4	0.3	0.3	0.3
American Bison	0.1		0.4		0.4	0.4	0.3	0.3	0.3
Mules and Asses	+		0.1		0.1	0.1	0.1	0.1	0.1
Total	**164.2**		**168.9**		**172.7**	**171.1**	**168.7**	**166.3**	**164.5**

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
Note: Totals may not sum due to independent rounding.
+ Does not exceed 0.05 MMT CO_2 Eq.

33 **Table 5-4: CH$_4$ Emissions from Enteric Fermentation (kt)**

Livestock Type	1990		2005		2009	2010	2011	2012	2013
Beef Cattle	4,763		5,007		5,022	4,976	4,867	4,747	4,684
Dairy Cattle	1,574		1,503		1,639	1,626	1,643	1,669	1,664
Swine	81		92		99	97	98	100	99
Horses	40		70		70	68	67	65	64

Sheep	91		49		46	45	44	43	43
Goats	13		14		15	14	14	13	13
American Bison	4		17		15	15	14	13	13
Mules and Asses	1		2		3	3	3	3	3
Total	**6,566**		**6,755**		**6,908**	**6,844**	**6,750**	**6,653**	**6,581**

Note: Totals may not sum due to independent rounding.

Methodology

Livestock emission estimate methodologies fall into two categories: cattle and other domesticated animals. Cattle, due to their large population, large size, and particular digestive characteristics, account for the majority of CH_4 emissions from livestock in the United States. A more detailed methodology (i.e., IPCC Tier 2) was therefore applied to estimate emissions for all cattle. Emission estimates for other domesticated animals (horses, sheep, swine, goats, American bison, and mules and asses) were handled using a less detailed approach (i.e., IPCC Tier 1).

While the large diversity of animal management practices cannot be precisely characterized and evaluated, significant scientific literature exists that provides the necessary data to estimate cattle emissions using the IPCC Tier 2 approach. The Cattle Enteric Fermentation Model (CEFM), developed by EPA and used to estimate cattle CH_4 emissions from enteric fermentation, incorporates this information and other analyses of livestock population, feeding practices, and production characteristics.

National cattle population statistics were disaggregated into the following cattle sub-populations:

- Dairy Cattle
  - Calves
  - Heifer Replacements
  - Cows
- Beef Cattle
  - Calves
  - Heifer Replacements
  - Heifer and Steer Stockers
  - Animals in Feedlots (Heifers and Steer)
  - Cows
  - Bulls

Calf birth rates, end-of-year population statistics, detailed feedlot placement information, and slaughter weight data were used to create a transition matrix that models cohorts of individual animal types and their specific emission profiles. The key variables tracked for each of the cattle population categories are described in Annex 3.10. These variables include performance factors such as pregnancy and lactation as well as average weights and weight gain. Annual cattle population data were obtained from the U.S. Department of Agriculture's (USDA) National Agricultural Statistics Service (NASS) QuickStats database (USDA 2014).

Diet characteristics were estimated by region for dairy, foraging beef, and feedlot beef cattle. These diet characteristics were used to calculate digestible energy (DE) values (expressed as the percent of gross energy intake digested by the animal) and CH_4 conversion rates (Y_m) (expressed as the fraction of gross energy converted to CH_4) for each regional population category. The IPCC recommends Y_m ranges of 3.0±1.0 percent for feedlot cattle and 6.5±1.0 percent for other well-fed cattle consuming temperate-climate feed types (IPCC 2006). Given the availability of detailed diet information for different regions and animal types in the United States, DE and Y_m values unique to the United States were developed. The diet characterizations and estimation of DE and Y_m values were based on information from state agricultural extension specialists, a review of published forage quality studies and scientific literature, expert opinion, and modeling of animal physiology.

1 The diet characteristics for dairy cattle were based on Donovan (1999) and an extensive review of nearly 20 years of
2 literature from 1990 through 2009. Estimates of DE were national averages based on the feed components of the
3 diets observed in the literature for the following year groupings: 1990-1993, 1994-1998, 1999-2003, 2004-2006,
4 2007, and 2008 onward.[208] Base year Y_m values by region were estimated using Donovan (1999). A ruminant
5 digestion model (COWPOLL, as selected in Kebreab et al. 2008) was used to evaluate Y_m for each diet evaluated
6 from the literature, and a function was developed to adjust regional values over time based on the national trend.
7 Dairy replacement heifer diet assumptions were based on the observed relationship in the literature between dairy
8 cow and dairy heifer diet characteristics.

9 For feedlot animals, the DE and Y_m values used for 1990 were recommended by Johnson (1999). Values for DE
10 and Y_m for 1991 through 1999 were linearly extrapolated based on the 1990 and 2000 data. DE and Y_m values for
11 2000 onwards were based on survey data in Galyean and Gleghorn (2001) and Vasconcelos and Galyean (2007).

12 For grazing beef cattle, Y_m values were based on Johnson (2002), DE values for 1990 through 2006 were based on
13 specific diet components estimated from Donovan (1999), and DE values from 2007 onwards were developed from
14 an analysis by Archibeque (2011), based on diet information in Preston (2010) and USDA:APHIS:VS (2010).
15 Weight and weight gains for cattle were estimated from Holstein (2010), Doren et al. (1989), Enns (2008), Lippke et
16 al. (2000), Pinchack et al. (2004), Platter et al. (2003), Skogerboe et al. (2000), and expert opinion. See Annex 3.10
17 for more details on the method used to characterize cattle diets and weights in the United States.

18 Calves younger than 4 months are not included in emission estimates because calves consume mainly milk and the
19 IPCC recommends the use of a Y_m of zero for all juveniles consuming only milk. Diets for calves aged 4 to 6
20 months are assumed to go through a gradual weaning from milk decreasing to 75 percent at 4 months, 50 percent at
21 age 5 months, and 25 percent at age 6 months. The portion of the diet made up with milk still results in zero
22 emissions. For the remainder of the diet, beef calf DE and Y_m are set equivalent to those of beef replacement heifers,
23 while dairy calf DE is set equal to that of dairy replacement heifers and dairy calf Y_m is provided at 4 and 7 months
24 of age by Soliva (2006). Estimates of Y_m for 5 and 6 month old dairy calves are linearly interpolated from the values
25 provided for 4 and 7 months.

26 To estimate CH_4 emissions, the population was divided into state, age, sub-type (i.e., dairy cows and replacements,
27 beef cows and replacements, heifer and steer stockers, heifers and steers in feedlots, bulls, beef calves 4 to 6 months,
28 and dairy calves 4 to 6 months), and production (i.e., pregnant, lactating) groupings to more fully capture differences
29 in CH_4 emissions from these animal types. The transition matrix was used to simulate the age and weight structure
30 of each sub-type on a monthly basis in order to more accurately reflect the fluctuations that occur throughout the
31 year. Cattle diet characteristics were then used in conjunction with Tier 2 equations from IPCC (2006) to produce
32 CH_4 emission factors for the following cattle types: dairy cows, beef cows, dairy replacements, beef replacements,
33 steer stockers, heifer stockers, steer feedlot animals, heifer feedlot animals, bulls, and calves. To estimate emissions
34 from cattle, monthly population data from the transition matrix were multiplied by the calculated emission factor for
35 each cattle type. More details are provided in Annex 3.10.

36 Emission estimates for other animal types were based on average emission factors representative of entire
37 populations of each animal type. Methane emissions from these animals accounted for a minor portion of total CH_4
38 emissions from livestock in the United States from 1990 through 2013. Additionally, the variability in emission
39 factors for each of these other animal types (e.g., variability by age, production system, and feeding practice within
40 each animal type) is less than that for cattle. Annual livestock population data for sheep; swine; goats; horses; mules
41 and asses; and American bison were obtained for available years from USDA NASS (USDA 2014). Horse, goat and
42 mule and ass population data were available for 1987, 1992, 1997, 2002, 2007, and 2012 (USDA 1992, 1997, 2014);
43 the remaining years between 1990 and 2013 were interpolated and extrapolated from the available estimates (with
44 the exception of goat populations being held constant between 1990 and 1992). American bison population
45 estimates were available from USDA for 2002, 2007, and 2012 (USDA 2014) and from the National Bison
46 Association (1999) for 1997 through 1999. Additional years were based on observed trends from the National Bison
47 Association (1999), interpolation between known data points, and extrapolation beyond 2012, as described in more
48 detail in Annex 3.10. Methane emissions from sheep, goats, swine, horses, American bison, and mules and asses
49 were estimated by using emission factors utilized in Crutzen et al. (1986, cited in IPCC 2006). These emission
50 factors are representative of typical animal sizes, feed intakes, and feed characteristics in developed countries. For

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[208] Due to inconsistencies in the 2003 literature values, the 2002 values were used for 2003, as well.

American bison the emission factor for buffalo was used and adjusted based on the ratio of live weights to the 0.75 power. The methodology is the same as that recommended by IPCC (2006).

See Annex 3.10 for more detailed information on the methodology and data used to calculate CH_4 emissions from enteric fermentation.

Uncertainty and Time-Series Consistency

A quantitative uncertainty analysis for this source category was performed using the IPCC-recommended Tier 2 uncertainty estimation methodology based on a Monte Carlo Stochastic Simulation technique as described in ICF (2003). These uncertainty estimates were developed for the 1990 through 2001 Inventory report (i.e., 2003 submission to the UNFCCC). There have been no significant changes to the methodology since that time; consequently, these uncertainty estimates were directly applied to the 2013 emission estimates in this Inventory report.

A total of 185 primary input variables (177 for cattle and 8 for non-cattle) were identified as key input variables for the uncertainty analysis. A normal distribution was assumed for almost all activity- and emission factor-related input variables. Triangular distributions were assigned to three input variables (specifically, cow-birth ratios for the three most recent years included in the 2001 model run) to ensure only positive values would be simulated. For some key input variables, the uncertainty ranges around their estimates (used for inventory estimation) were collected from published documents and other public sources; others were based on expert opinion and best estimates. In addition, both endogenous and exogenous correlations between selected primary input variables were modeled. The exogenous correlation coefficients between the probability distributions of selected activity-related variables were developed through expert judgment.

The uncertainty ranges associated with the activity data-related input variables were plus or minus 10 percent or lower. However, for many emission factor-related input variables, the lower- and/or the upper-bound uncertainty estimates were over 20 percent. The results of the quantitative uncertainty analysis are summarized in Table 5-5. Based on this analysis, enteric fermentation CH_4 emissions in 2013 were estimated to be between 146.4 and 194.1 MMT CO_2 Eq. at a 95 percent confidence level, which indicates a range of 11 percent below to 18 percent above the 2013 emission estimate of 164.5 MMT CO_2 Eq. Among the individual cattle sub-source categories, beef cattle account for the largest amount of CH_4 emissions, as well as the largest degree of uncertainty in the emission estimates—due mainly to the difficulty in estimating the diet characteristics for grazing members of this animal group. Among non-cattle, horses represent the largest percent of uncertainty in the previous uncertainty analysis because the FAO population estimates used for horses at that time had a higher degree of uncertainty than for the USDA population estimates used for swine, goats, and sheep. The horse populations are now from the same USDA source as the other animal types, and therefore the uncertainty range around horses is likely overestimated. Cattle calves, American bison, mules and asses were excluded from the initial uncertainty estimate because they were not included in emission estimates at that time.

Table 5-5: Quantitative Uncertainty Estimates for CH_4 Emissions from Enteric Fermentation (MMT CO_2 Eq. and Percent)

Source	Gas	2013 Emission Estimate (MMT CO_2 Eq.)	Uncertainty Range Relative to Emission Estimate[a, b, c]			
			(MMT CO_2 Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Enteric Fermentation	CH_4	164.5	146.4	194.1	-11%	+18%

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
[a] Range of emissions estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.
[b] Note that the relative uncertainty range was estimated with respect to the 2001 emission estimates from the 2003 submission and applied to the 2013 estimates.
[c] The overall uncertainty calculated in 2003, and applied to the 2013 emission estimate, did not include uncertainty estimates for calves, American bison, and mules and asses. Additionally, for bulls the emissions estimate was based on the Tier 1 methodology Since bull emissions are now estimated using the Tier 2 method, the uncertainty surrounding their estimates is likely lower than indicated by the previous uncertainty analysis.

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2013. Details on the emission trends through time are described in more detail in the Methodology section.

QA/QC and Verification

In order to ensure the quality of the emission estimates from enteric fermentation, the IPCC Tier 1 and Tier 2 Quality Assurance/Quality Control (QA/QC) procedures were implemented consistent with the U.S. QA/QC plan. Tier 2 QA procedures included independent peer review of emission estimates. The recent addition of emission estimates from calves to the enteric fermentation model and further separation of calves in beef and dairy subcategories made this the area of emphasis for QA/QC this year, with specific attention to the data sources and comparisons of the current estimates with previous estimates.

In addition, over the past few years, particular importance has been placed on harmonizing the data exchange between the enteric fermentation and manure management source categories. The current Inventory now utilizes the transition matrix from the CEFM for estimating cattle populations and weights for both source categories, and the CEFM is used to output volatile solids and nitrogen excretion estimates using the diet assumptions in the model in conjunction with the energy balance equations from the IPCC (2006). This approach facilitates the QA/QC process for both of these source categories.

Recalculations Discussion

For the current Inventory, emission estimates have been revised to reflect the GWPs provided in the IPCC Fourth Assessment Report (AR4) (IPCC 2007). AR4 GWP values differ slightly from those presented in the IPCC Second Assessment Report (SAR) (IPCC 1996) (used in the previous inventories) which results in time-series recalculations for most inventory sources. Under the most recent reporting guidelines (UNFCCC 2014), countries are required to report using the AR4 GWPs, which reflect an updated understanding of the atmospheric properties of each greenhouse gas. The GWPs of CH_4 and most fluorinated greenhouse gases have increased, leading to an overall increase in CO_2-equivalent emissions from CH_4. The GWPs of N_2O and SF_6 have decreased, leading to a decrease in CO_2-equivalent emissions for these greenhouse gases. The AR4 GWPs have been applied across the entire time series for consistency. For more information please see the Recalculations Chapter. This resulted in no change in CH_4 emissions, but an increase of 19 percent in enteric emissions CO_2 equivalent.

There were no modifications to the methodology that had an effect on emission estimates, therefore the only recalculations were due to changes in activity data, including the following.

- Foraging animal types from 2007 through 2012 show minor revisions in emissions. The region designations for the post 2006 foraging diets were offset by one from the states from Montana-onward (alphabetically).

- There was a transcription error in the CEFM that, when corrected, resulted in slight changes to the emissions from feedlot cattle between 1992 and 2013. The overall impact is a slight decrease in enteric emissions from cattle.

- The USDA published minor revisions in several categories that affected historical emissions estimated for cattle in 2012, including dairy cow milk production for several states and beef cattle feedlot placement data. These changes had an insignificant impact on the overall results.

- The 2012 USDA Census of Agriculture was released, providing updated 2012 population estimates for horses, goats, American bison, and mules and asses. As a result, emissions between 2008 and 2012 increased an average of 11 percent, 10 percent, 1.4 percent, and 25 percent, respectively.

Planned Improvements

Continued research and regular updates are necessary to maintain an emissions inventory that reflects the current base of knowledge. Future improvements for enteric fermentation could include some of the following options:

- Updating input variables that are from older data sources, such as beef births by month and beef cow lactation rates;

1 • Investigation of the availability of annual data for the DE and crude protein values of specific diet and feed
2   components for foraging and feedlot animals;

3 • Further investigation on additional sources or methodologies for estimating DE for dairy, given the many
4   challenges in characterizing dairy diets;

5 • Further evaluation of the assumptions about weights and weight gains for beef cows, such that trends beyond
6   2007 are updated, rather than held constant;

7 • Further evaluation of the estimated weight for dairy cows (i.e., 1,500 lbs) that is based solely on Holstein cows
8   as mature dairy cow weight is likely slightly overestimated, based on knowledge of the breeds of dairy cows in
9   the United States;

10 • Potentially updating to a Tier 2 methodology for other animal types (i.e., sheep, swine, goats, horses);

11 • Investigation of methodologies and emission factors for including enteric fermentation emission estimates from
12   poultry; and

13 • Recent changes that have been implemented to the CEFM warrant an assessment of the current uncertainty
14   analysis; therefore, a revision of the quantitative uncertainty surrounding emission estimates from this source
15   category will be initiated.

5.2 Manure Management (IPCC Source Category 4B)

18 The treatment, storage, and transportation of livestock manure can produce anthropogenic CH_4 and N_2O emissions.
19 Methane is produced by the anaerobic decomposition of manure. Nitrous oxide emissions are produced through
20 both direct and indirect pathways. Direct N_2O emissions are produced as part of the N cycle through the
21 nitrification and denitrification of the organic N in livestock dung and urine.[209] There are two pathways for indirect
22 N_2O emissions. The first is the result of the volatilization of N in manure (as NH_3 and NO_x) and the subsequent
23 deposition of these gases and their products (NH_4^+ and NO_3^-) onto soils and the surface of lakes and other waters.
24 The second pathway is the runoff and leaching of N from manure to the groundwater below, in riparian zones
25 receiving drain or runoff water, or in the ditches, streams, rivers, and estuaries into which the land drainage water
26 eventually flows.

27 When livestock or poultry manure are stored or treated in systems that promote anaerobic conditions (e.g., as a
28 liquid/slurry in lagoons, ponds, tanks, or pits), the decomposition of the volatile solids component in the manure
29 tends to produce CH_4. When manure is handled as a solid (e.g., in stacks or drylots) or deposited on pasture, range,
30 or paddock lands, it tends to decompose aerobically and produce little or no CH_4. Ambient temperature, moisture,
31 and manure storage or residency time affect the amount of CH_4 produced because they influence the growth of the
32 bacteria responsible for CH_4 formation. For non-liquid-based manure systems, moist conditions (which are a
33 function of rainfall and humidity) can promote CH_4 production. Manure composition, which varies by animal diet,
34 growth rate, and type, including the animal's digestive system, also affects the amount of CH_4 produced. In general,
35 the greater the energy content of the feed, the greater the potential for CH_4 emissions. However, some higher-energy
36 feeds also are more digestible than lower quality forages, which can result in less overall waste excreted from the
37 animal.

38 The production of direct N_2O emissions from livestock manure depends on the composition of the manure and urine,
39 the type of bacteria involved in the process, and the amount of oxygen and liquid in the manure system. For direct

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[209] Direct and indirect N_2O emissions from dung and urine spread onto fields either directly as daily spread or after it is removed from manure management systems (e.g., lagoon, pit, etc.) and from livestock dung and urine deposited on pasture, range, or paddock lands are accounted for and discussed in the Agricultural Soil Management source category within the Agriculture sector.

1 N$_2$O emissions to occur, the manure must first be handled aerobically where ammonia (NH$_3$) or organic N is
2 converted to nitrates and nitrites (nitrification), and then handled anaerobically where the nitrates and nitrites are
3 reduced to dinitrogen gas (N$_2$), with intermediate production of N$_2$O and nitric oxide (NO) (denitrification)
4 (Groffman et al. 2000). These emissions are most likely to occur in dry manure handling systems that have aerobic
5 conditions, but that also contain pockets of anaerobic conditions due to saturation. A very small portion of the total
6 N excreted is expected to convert to N$_2$O in the waste management system (WMS). Indirect N$_2$O emissions are
7 produced when nitrogen is lost from the system through volatilization (as NH$_3$ or NO$_x$) or through runoff and
8 leaching. The vast majority of volatilization losses from these operations are NH$_3$. Although there are also some
9 small losses of NO$_x$, there are no quantified estimates available for use, so losses due to volatilization are only based
10 on NH$_3$ loss factors. Runoff losses would be expected from operations that house animals or store manure in a
11 manner that is exposed to weather. Runoff losses are also specific to the type of animal housed on the operation due
12 to differences in manure characteristics. Little information is known about leaching from manure management
13 systems as most research focuses on leaching from land application systems. Since leaching losses are expected to
14 be minimal, leaching losses are coupled with runoff losses and the runoff/leaching estimate provided in this chapter
15 does not account for any leaching losses.

16 Estimates of CH$_4$ emissions in 2013 were 61.4 MMT CO$_2$ Eq. (2,456 kt); in 1990, emissions were 37.2 MMT CO$_2$
17 Eq. (1,486 kt). This represents a 65 percent increase in emissions from 1990. Emissions increased on average by
18 1.1 MMT CO$_2$ Eq. (2.8 percent) annually over this period. The majority of this increase is due to swine and dairy
19 cow manure, where emissions increased 48 and 115 percent, respectively. From 2012 to 2013, there was a 3.6
20 percent decrease in total CH$_4$ emissions, mainly due to minor shifts in the animal populations and the resultant
21 effects on manure management system allocations.

22 Although the majority of managed manure in the United States is handled as a solid, producing little CH$_4$, the
23 general trend in manure management, particularly for dairy and swine (which are both shifting towards larger
24 facilities), is one of increasing use of liquid systems. Also, new regulations controlling the application of manure
25 nutrients to land have shifted manure management practices at smaller dairies from daily spread systems to storage
26 and management of the manure on site. Although national dairy animal populations have generally been decreasing
27 since 1990, some states have seen increases in their dairy populations as the industry becomes more concentrated in
28 certain areas of the country and the number of animals contained on each facility increases. These areas of
29 concentration, such as California, New Mexico, and Idaho, tend to utilize more liquid-based systems to manage
30 (flush or scrape) and store manure. Thus the shift toward larger dairy and swine facilities has translated into an
31 increasing use of liquid manure management systems, which have higher potential CH$_4$ emissions than dry systems.
32 This significant shift in both the dairy and swine industries was accounted for by incorporating state and WMS-
33 specific CH$_4$ conversion factor (MCF) values in combination with the 1992, 1997, 2002, and 2007 farm-size
34 distribution data reported in the *Census of Agriculture* (USDA 2014a).

35 In 2013, total N$_2$O emissions were estimated to be 17.3 MMT CO$_2$ Eq. (58 kt); in 1990, emissions were 13.8 MMT
36 CO$_2$ Eq. (46 kt). These values include both direct and indirect N$_2$O emissions from manure management. Nitrous
37 oxide emissions have remained fairly steady since 1990. Small changes in N$_2$O emissions from individual animal
38 groups exhibit the same trends as the animal group populations, with the overall net effect that N$_2$O emissions
39 showed a 25 percent increase from 1990 to 2013 and a 0.1 percent decrease from 2012 through 2013. Overall shifts
40 toward liquid systems have driven down the emissions per unit of nitrogen excreted.

41 Table 5-6 and Table 5-7 provide estimates of CH$_4$ and N$_2$O emissions from manure management by animal
42 category.

43 **Table 5-6: CH$_4$ and N$_2$O Emissions from Manure Management (MMT CO$_2$ Eq.)**

Gas/Animal Type	1990	2005	2009	2010	2011	2012	2013
CH$_4$[a]	37.2	56.3	59.7	60.9	61.4	63.7	61.4
Dairy Cattle	14.7	26.4	30.4	30.4	31.2	32.6	31.8
Beef Cattle	3.1	3.3	3.2	3.3	3.2	3.2	3.0
Swine	15.6	22.9	22.4	23.6	23.5	24.4	23.1
Sheep	0.2	0.1	0.1	0.1	0.1	0.1	0.1
Goats	+	+	+	+	+	+	+
Poultry	3.3	3.2	3.2	3.2	3.2	3.2	3.2
Horses	0.2	0.3	0.2	0.2	0.2	0.2	0.2

	1990						
American Bison	+	+	+	+	+	+	+
Mules and Asses	+	+	+	+	+	+	+
N_2O^b	**13.8**	**16.4**	**17.0**	**17.1**	**17.3**	**17.3**	**17.3**
Dairy Cattle	5.1	5.4	5.6	5.6	5.7	5.8	5.7
Beef Cattle	5.9	7.2	7.5	7.5	7.7	7.6	7.6
Swine	1.2	1.7	1.9	1.9	1.9	1.9	1.9
Sheep	0.1	0.3	0.3	0.3	0.3	0.3	0.3
Goats	+	+	+	+	+	+	+
Poultry	1.4	1.6	1.5	1.5	1.5	1.6	1.6
Horses	0.1	0.1	0.1	0.1	0.1	0.1	0.1
American Bison	NA	NA	NA	NA	NA	NA	NA
Mules and Asses	+	+	+	+	+	+	+
Total	**51.0**	**72.8**	**76.7**	**78.0**	**78.7**	**81.0**	**78.8**

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
+ Less than 0.1 MMT CO_2 Eq.
[a] Accounts for CH_4 reductions due to capture and destruction of CH_4 at facilities using anaerobic digesters.
[b] Includes both direct and indirect N_2O emissions.
Note: Totals may not sum due to independent rounding. American bison are maintained entirely on unmanaged WMS; there are no American bison N_2O emissions from managed systems.
NA: Not available

Table 5-7: CH_4 and N_2O Emissions from Manure Management (kt)

Gas/Animal Type	1990	2005	2009	2010	2011	2012	2013
CH_4^a	**1,486**	**2,254**	**2,388**	**2,437**	**2,457**	**2,548**	**2,456**
Dairy Cattle	590	1,057	1,218	1,217	1,244	1,304	1,271
Beef Cattle	126	133	130	132	131	127	120
Swine	622	916	898	945	941	974	922
Sheep	7	3	3	3	3	3	3
Goats	1	1	1	1	1	1	1
Poultry	131	129	128	129	127	128	129
Horses	9	12	10	10	10	10	9
American Bison	+	+	+	+	+	+	+
Mules and Asses	+	+	+	+	+	+	+
N_2O^b	**46**	**55**	**57**	**57**	**58**	**58**	**58**
Dairy Cattle	17	18	19	19	19	19	19
Beef Cattle	20	24	25	25	26	26	26
Swine	4	6	6	6	6	6	6
Sheep	+	1	1	1	1	1	1
Goats	+	+	+	+	+	+	+
Poultry	5	5	5	5	5	5	5
Horses	+	+	+	+	+	+	+
American Bison	NA	NA	NA	NA	NA	NA	NA
Mules and Asses	+	+	+	+	+	+	+

+ Less than 0.1 kt.
[a] Accounts for CH_4 reductions due to capture and destruction of CH_4 at facilities using anaerobic digesters.
[b] Includes both direct and indirect N_2O emissions.
Note: Totals may not sum due to independent rounding. American bison are maintained entirely on unmanaged WMS; there are no American bison N_2O emissions from managed systems.
NA: Not available

Methodology

The methodologies presented in IPCC (2006) form the basis of the CH_4 and N_2O emission estimates for each animal type. This section presents a summary of the methodologies used to estimate CH_4 and N_2O emissions from manure

1   management. See Annex 3.11 for more detailed information on the methodology and data used to calculate CH_4 and
2   N_2O emissions from manure management.

Methane Calculation Methods

4   The following inputs were used in the calculation of CH_4 emissions:

5   •   Animal population data (by animal type and state);
6   •   Typical animal mass (TAM) data (by animal type);
7   •   Portion of manure managed in each WMS, by state and animal type;
8   •   Volatile solids (VS) production rate (by animal type and state or United States);
9   •   Methane producing potential (B_o) of the volatile solids (by animal type); and
10   •   Methane conversion factors (MCF), the extent to which the CH_4 producing potential is realized for each
11   type of WMS (by state and manure management system, including the impacts of any biogas collection
12   efforts).

13   Methane emissions were estimated by first determining activity data, including animal population, TAM, WMS
14   usage, and waste characteristics. The activity data sources are described below:

15   •   Annual animal population data for 1990 through 2013 for all livestock types, except goats, horses, mules
16   and asses, and American bison were obtained from USDA National Agriculture Statistics Service (NASS).
17   For cattle, the USDA populations were utilized in conjunction with birth rates, detailed feedlot placement
18   information, and slaughter weight data to create the transition matrix in the Cattle Enteric Fermentation
19   Model (CEFM) that models cohorts of individual animal types and their specific emission profiles. The
20   key variables tracked for each of the cattle population categories are described in Section 5.1 and in more
21   detail in Annex 3.10. Goat population data for 1992, 1997, 2002, 2007, and 2012; horse and mule and ass
22   population data for 1987, 1992, 1997, 2002 2007, and 2012; and American bison population for 2002, 2007
23   and 2012 were obtained from the *Census of Agriculture* (USDA 2014a). American bison population data
24   for 1990 through 1999 were obtained from the National Bison Association (1999).

25   •   The TAM is an annual average weight that was obtained for animal types other than cattle from
26   information in USDA's *Agricultural Waste Management Field Handbook* (USDA 1996), the American
27   Society of Agricultural Engineers, Standard D384.1 (ASAE 1998) and others (Meagher 1986; EPA 1992;
28   Safley 2000; ERG 2003b; IPCC 2006; ERG 2010a). For a description of the TAM used for cattle, please
29   see Section 5.1.

30   •   WMS usage was estimated for swine and dairy cattle for different farm size categories using data from
31   USDA (USDA; APHIS 1996; Bush 1998; Ott 2000; USDA 2014a) and EPA (ERG 2000a; EPA 2002a;
32   2002b). For beef cattle and poultry, manure management system usage data were not tied to farm size but
33   were based on other data sources (ERG 2000a; USDA; APHIS 2000; UEP 1999). For other animal types,
34   manure management system usage was based on previous estimates (EPA 1992). American bison WMS
35   usage was assumed to be the same as not on feed (NOF) cattle, while mules and asses were assumed to be
36   the same as horses.

37   •   VS production rates for all cattle except for calves were calculated by head for each state and animal type
38   in the CEFM. VS production rates by animal mass for all other animals were determined using data from
39   USDA's *Agricultural Waste Management Field Handbook* (USDA 1996, 2008 and ERG 2010b and 2010c)
40   and data that was not available in the most recent *Handbook* were obtained from the American Society of
41   Agricultural Engineers, Standard D384.1 (ASAE 1998) or the *2006 IPCC Guidelines*. American bison VS
42   production was assumed to be the same as NOF bulls.

43   •   The maximum CH_4-producing capacity of the VS (B_o) was determined for each animal type based on
44   literature values (Morris 1976; Bryant et al, 1976; Hashimoto 1981; Hashimoto 1984; EPA 1992; Hill
45   1982; Hill 1984).

46   •   MCFs for dry systems were set equal to default IPCC factors based on state climate for each year (IPCC
47   2006). MCFs for liquid/slurry, anaerobic lagoon, and deep pit systems were calculated based on the
48   forecast performance of biological systems relative to temperature changes as predicted in the van't Hoff-
49   Arrhenius equation which is consistent with IPCC (2006) Tier 2 methodology.

- Data from anaerobic digestion systems with CH_4 capture and combustion were obtained from the EPA AgSTAR Program, including information presented in the *AgSTAR Digest* (EPA 2000, 2003, 2006) and the AgSTAR project database (EPA 2012). Anaerobic digester emissions were calculated based on estimated methane production and collection and destruction efficiency assumptions (ERG 2008).

- For all cattle except for calves, the estimated amount of VS (kg per animal-year) managed in each WMS for each animal type, state, and year were taken from the CEFM, assuming American bison VS production to be the same as NOF bulls. For animals other than cattle, the annual amount of VS (kg per year) from manure excreted in each WMS was calculated for each animal type, state, and year. This calculation multiplied the animal population (head) by the VS excretion rate (kg VS per 1,000 kg animal mass per day), the TAM (kg animal mass per head) divided by 1,000, the WMS distribution (percent), and the number of days per year (365.25).

The estimated amount of VS managed in each WMS was used to estimate the CH_4 emissions (kg CH_4 per year) from each WMS. The amount of VS (kg per year) were multiplied by the maximum CH_4 producing capacity of the VS (B_o) (m^3 CH_4 per kg VS), the MCF for that WMS (percent), and the density of CH_4 (kg CH_4 per m^3 CH_4). The CH_4 emissions for each WMS, state, and animal type were summed to determine the total U.S. CH_4 emissions.

Nitrous Oxide Calculation Methods

The following inputs were used in the calculation of direct and indirect N_2O emissions:

- Animal population data (by animal type and state);
- TAM data (by animal type);
- Portion of manure managed in each WMS (by state and animal type);
- Total Kjeldahl N excretion rate (Nex);
- Direct N_2O emission factor (EF_{WMS});
- Indirect N_2O emission factor for volitalization ($EF_{volitalization}$);
- Indirect N_2O emission factor for runoff and leaching ($EF_{runoff/leach}$);
- Fraction of N loss from volitalization of NH_3 and NO_x ($Frac_{gas}$); and
- Fraction of N loss from runoff and leaching ($Frac_{runoff/leach}$).

N_2O emissions were estimated by first determining activity data, including animal population, TAM, WMS usage, and waste characteristics. The activity data sources (except for population, TAM, and WMS, which were described above) are described below:

- Nex rates for all cattle except for calves were calculated by head for each state and animal type in the CEFM. Nex rates by animal mass for all other animals were determined using data from USDA's *Agricultural Waste Management Field Handbook* (USDA 1996, 2008 and ERG 2010b and 2010c) and data from the American Society of Agricultural Engineers, Standard D384.1 (ASAE 1998) and IPCC (2006). American bison Nex rates were assumed to be the same as NOF bulls.

- All N_2O emission factors (direct and indirect) were taken from IPCC (2006). These data are appropriate because they were developed using U.S. data.

- Country-specific estimates for the fraction of N loss from volatilization ($Frac_{gas}$) and runoff and leaching ($Frac_{runoff/leach}$) were developed. $Frac_{gas}$ values were based on WMS-specific volatilization values as estimated from EPA's *National Emission Inventory - Ammonia Emissions from Animal Agriculture Operations* (EPA 2005). $Frac_{runoff/leaching}$ values were based on regional cattle runoff data from EPA's Office of Water (EPA 2002b; see Annex 3.1).

To estimate N_2O emissions for cattle (except for calves) and American bison, the estimated amount of N excreted (kg per animal-year) managed in each WMS for each animal type, state, and year were taken from the CEFM. For calves and other animals, the amount of N excreted (kg per year) in manure in each WMS for each animal type, state, and year was calculated. The population (head) for each state and animal was multiplied by TAM (kg animal mass per head) divided by 1,000, the nitrogen excretion rate (Nex, in kg N per 1,000 kg animal mass per day), WMS distribution (percent), and the number of days per year.

Direct N_2O emissions were calculated by multiplying the amount of N excreted (kg per year) in each WMS by the N_2O direct emission factor for that WMS (EF_{WMS}, in kg N_2O-N per kg N) and the conversion factor of N_2O-N to

1   N_2O. These emissions were summed over state, animal, and WMS to determine the total direct N_2O emissions (kg
2   of N_2O per year).

3   Next, indirect N_2O emissions from volatilization (kg N_2O per year) were calculated by multiplying the amount of N
4   excreted (kg per year) in each WMS by the fraction of N lost through volatilization ($Frac_{tas}$) divided by 100, and the
5   emission factor for volatilization ($EF_{volatilization}$, in kg N_2O per kg N), and the conversion factor of N_2O-N to N_2O.
6   Indirect N_2O emissions from runoff and leaching (kg N_2O per year) were then calculated by multiplying the amount
7   of N excreted (kg per year) in each WMS by the fraction of N lost through runoff and leaching ($Frac_{runoff/leach}$)
8   divided by 100, and the emission factor for runoff and leaching ($EF_{runoff/leach}$, in kg N_2O per kg N), and the
9   conversion factor of N_2O-N to N_2O. The indirect N_2O emissions from volatilization and runoff and leaching were
10   summed to determine the total indirect N_2O emissions.

11   The direct and indirect N_2O emissions were summed to determine total N_2O emissions (kg N_2O per year).

12 # Uncertainty and Time-Series Consistency

13   An analysis (ERG 2003a) was conducted for the manure management emission estimates presented in the 1990
14   through 2001 Inventory report (i.e., 2003 submission to the UNFCCC) to determine the uncertainty associated with
15   estimating CH_4 and N_2O emissions from livestock manure management. The quantitative uncertainty analysis for
16   this source category was performed in 2002 through the IPCC-recommended Tier 2 uncertainty estimation
17   methodology, the Monte Carlo Stochastic Simulation technique. The uncertainty analysis was developed based on
18   the methods used to estimate CH_4 and N_2O emissions from manure management systems. A normal probability
19   distribution was assumed for each source data category. The series of equations used were condensed into a single
20   equation for each animal type and state. The equations for each animal group contained four to five variables
21   around which the uncertainty analysis was performed for each state. These uncertainty estimates were directly
22   applied to the 2013 emission estimates as there have not been significant changes in the methodology since that
23   time.

24   The results of the Tier 2 quantitative uncertainty analysis are summarized in Table 5-8. Manure management CH_4
25   emissions in 2013 were estimated to be between 50.4 and 73.7 MMT CO_2 Eq. at a 95 percent confidence level,
26   which indicates a range of 18 percent below to 20 percent above the actual 2013 emission estimate of 61.5 MMT
27   CO_2 Eq. At the 95 percent confidence level, N_2O emissions were estimated to be between 14.5 and 21.5 MMT CO_2
28   Eq. (or approximately 16 percent below and 24 percent above the actual 2013 emission estimate of 17.3 MMT CO_2
29   Eq.).

30 **Table 5-8: Tier 2 Quantitative Uncertainty Estimates for CH₄ and N₂O (Direct and Indirect)**
31 **Emissions from Manure Management (MMT CO₂ Eq. and Percent)**

Source	Gas	2013 Emission Estimate (MMT CO₂ Eq.)	Uncertainty Range Relative to Emission Estimate[a] (MMT CO₂ Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Manure Management	CH_4	61.4	50.3	73.7	-18%	+20%
Manure Management	N_2O	17.3	14.5	21.5	-16%	+24%

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
[a]Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

QA/QC and Verification

Tier 1 and Tier 2 QA/QC activities were conducted consistent with the U.S. QA/QC plan. Tier 2 activities focused on comparing estimates for the previous and current Inventories for N_2O emissions from managed systems and CH_4 emissions from livestock manure. All errors identified were corrected. Order of magnitude checks were also conducted, and corrections made where needed. Manure N data were checked by comparing state-level data with bottom up estimates derived at the county level and summed to the state level. Similarly, a comparison was made by animal and WMS type for the full time series, between national level estimates for N excreted and the sum of county estimates for the full time series.

Any updated data, including population, are validated by experts to ensure the changes are representative of the best available U.S.-specific data. The U.S.-specific values for TAM, Nex, VS, B_o, and MCF were also compared to the IPCC default values and validated by experts. Although significant differences exist in some instances, these differences are due to the use of U.S.-specific data and the differences in U.S. agriculture as compared to other countries. The U.S. manure management emission estimates use the most reliable country-specific data, which are more representative of U.S. animals and systems than the 2006 IPPC default values.

For additional verification, the implied CH_4 emission factors for manure management (kg of CH_4 per head per year) were compared against the default 2006 IPCC values. Table 5-9 presents the implied emission factors of kg of CH_4 per head per year used for the manure management emission estimates as well as the IPCC default emission factors. The U.S. implied emission factors fall within the range of the 2006 IPCC default values, except in the case of sheep, goats, and some years for horses and dairy cattle. The U.S. implied emission factors are greater than the 2006 IPCC default value for those animals due to the use of U.S.-specific data for typical animal mass and VS excretion. There is an increase in implied emission factors for dairy and swine across the time series. This increase reflects the dairy and swine industry trend towards larger farm sizes; large farms are more likely to manage manure as a liquid and therefore produce more CH_4 emissions.

Table 5-9: 2006 IPCC Implied Emission Factor Default Values Compared with Calculated Values for CH_4 from Manure Management (kg/head/year)

Animal Type	IPCC Default CH_4 Emission Factors (kg/head/year)	Implied CH_4 Emission Factors (kg/head/year)						
		1990	2005	2009	2010	2011	2012	2013
Dairy Cattle	48-112	30.2	59.4	65.6	66.6	67.5	70.2	68.8
Beef Cattle	1-2	1.5	1.6	1.6	1.6	1.7	1.7	1.6
Swine	10-45	11.5	15.0	13.6	14.6	14.3	14.7	14.0
Sheep	0.19-0.37	0.2	0.1	0.1	0.1	0.1	0.1	0.1
Goats	0.13-0.26	0.1	0.3	0.3	0.3	0.3	0.3	0.3
Poultry	0.02-1.4	52.0	44.7	43.6	45.4	46.5	48.9	51.2
Horses	1.56-3.13	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Mules and Asses	0.76-1.14	1.3	2.0	1.3	1.3	1.2	1.2	1.1
American Bison	NA	0.03	0.1	0.1	0.1	0.1	0.1	0.1

In addition, 2006 default IPCC emission factors for N_2O were compared to the U.S. Inventory implied N_2O emission factors. Default N_2O emission factors from the *2006 IPCC Guidelines* were used to estimate N_2O emission from each WMS in conjunction with U.S.-specific Nex values. The implied emission factors differed from the U.S. Inventory values due to the use of U.S.-specific Nex values and differences in populations present in each WMS throughout the time series.

Recalculations Discussion

For the current Inventory, emission estimates have been revised to reflect the GWPs provided in the IPCC Fourth Assessment Report (AR4) (IPCC 2007). AR4 GWP values differ slightly from those presented in the IPCC Second Assessment Report (SAR) (IPCC 1996) (used in the previous inventories) which results in time-series recalculations for most inventory sources. Under the most recent reporting guidelines (UNFCCC 2014), countries are required to report using the AR4 GWPs, which reflect an updated understanding of the atmospheric properties of each

1   greenhouse gas. The GWPs of CH_4 and most fluorinated greenhouse gases have increased, leading to an overall
2   increase in CO_2-equivalent emissions from CH_4. The GWPs of N_2O and SF_6 have decreased, leading to a decrease in
3   CO_2-equivalent emissions for N_2O. The AR4 GWPs have been applied across the entire time series for
4   consistency. For more information please see the Recalculations Chapter.

5   The CEFM produces population, VS and Nex data for cattle, excepting calves, that are used in the manure
6   management inventory. As a result, all changes to the CEFM described in Section 5.1 contributed to changes in the
7   population, VS and Nex data used for calculating CH_4 and N_2O cattle emissions from manure management. In
8   addition, the manure management emission estimates included the following recalculations relative to the previous
9   Inventory:

10 • Calves were reported separately as dairy and beef calves. In previous inventories, all calves were included
11   in the beef category. However, some calves are raised on dairy farms so the separation of calves into dairy
12   and beef categories improves the accuracy of the emissions estimates.
13 • State animal populations were updated to reflect updated USDA NASS datasets which resulted in
14   population changes for poultry and swine in 2012.
15 • Population changes also occurred for bison, goats, horses and mules and asses for 2008 through 2012 due
16   to incorporation of new state-level census data.
17 • Temperatures changed across all years due to a systematic recalculation of temperature data by NOAA
18   (Robel 2014). This caused a change in liquid system MCFs across all years and states, along with changes
19   in certain years and states for non-liquid systems due to changing climate zones.

Planned Improvements

21 The uncertainty analysis will be updated in the future to more accurately assess uncertainty of emission calculations.
22 This update is necessary due to the extensive changes in emission calculation methodology, including estimation of
23 emissions at the WMS level and the use of new calculations and variables for indirect N_2O emissions.

24 In the next Inventory report, updated AgSTAR anaerobic digester data will be incorporated into future inventory
25 years. In addition, the 2012 Agricultural Census data will also be incorporated into the inventory and will be used to
26 update county-level animal population and WMS estimates.

5.3 Rice Cultivation (IPCC Source Category 4C)

28 Most of the world's rice, and all rice in the United States, is grown on flooded fields (Baicich 2013). When fields
29 are flooded, aerobic decomposition of organic material gradually depletes most of the oxygen in the soil. Once
30 depleted, soil conditions become anaerobic, and CH_4 is produced by the decomposition of soil organic matter by
31 anaerobic methanogenic bacteria. Most of the CH_4 produced does not reach the atmosphere. Up to 60 to 90 percent
32 is oxidized by aerobic methanotrophic bacteria in the soil (some oxygen remains at the interfaces of soil and water,
33 and soil and root systems) (Holzapfel-Pschorn et al. 1985, Sass et al. 1990) and some is leached away as dissolved
34 CH_4 in floodwater that percolates from the field. The remaining un-oxidized CH_4 is transported from the submerged
35 soil to the atmosphere primarily by diffusive transport through the rice plants. Minor amounts of CH_4 also escape
36 from the soil via diffusion and bubbling through floodwaters.

37 The water management systems used to cultivate rice are one of the most important factors affecting CH_4 emissions.
38 Upland rice fields are not flooded, and therefore are not believed to produce much CH_4. In deepwater rice fields
39 (i.e., fields with flooding depths greater than one meter), the lower stems and roots of the rice plants die, thus
40 blocking the primary CH_4 transport pathway to the atmosphere. The quantities of CH_4 released from deepwater
41 fields are therefore believed to be significantly less than rice fields with shallower flooding depths (Sass 2001).
42 Some flooded rice fields are drained periodically during the growing season, either intentionally or accidentally. If
43 water is drained and soils are allowed to dry sufficiently, CH_4 emissions decrease or stop entirely due to soil
44 aeration. Aeration not only causes existing soil CH_4 to oxidize, but also inhibits further CH_4 production in soils. In
45 the United States, rice is grown under continuously flooded, shallow water conditions (USDA 2012) and mid-season
46 drainage does not occur except by accident (e.g., due to levee breach).

1 Other factors that influence CH_4 emissions from flooded rice fields include fertilization practices (i.e. the use of urea
2 and organic fertilizers), soil temperature, soil type, rice variety, and cultivation practices (e.g., tillage, seeding, and
3 weeding practices). Factors that influence the amount of organic material available for anaerobic decomposition
4 (i.e., fertilizer use, soil type, rice variety[210], and cultivation practices) are the most important variables influencing
5 the amount of CH_4 emitted over the growing season. Soil temperature is an important factor regulating the activity
6 of methanogenic bacteria which in turn affects the rate of CH_4 production. However, although temperature
7 influences the time required to convert organic material to CH_4, the impact of soil temperature on CH_4 emissions is
8 minor over the length of the growing season. The application of synthetic fertilizers also influences CH_4 emissions;
9 in particular, both nitrate and sulfate fertilizers (e.g., ammonium nitrate and ammonium sulfate) appear to inhibit
10 CH_4 formation. Nitrate and sulfate fertilizers are not commonly used in rice cultivation in the United States.

11 Rice is currently cultivated in seven states: Arkansas, California, Florida, Louisiana, Mississippi, Missouri, and
12 Texas[2]. Soil types, rice varieties, and cultivation practices for rice vary from state to state, and even from farm to
13 farm. Most rice farmers recycle crop residues from the previous rice or rotational crop, either by leaving them
14 standing, disking them, or rolling them into fields. Most farmers also apply synthetic fertilizer (usually urea) to their
15 fields. In addition, the climatic conditions of Arkansas, Florida, southwest Louisiana, and Texas often allow for a
16 second, or ratoon, rice crop. Ratoon crops are produced from regrowth of the stubble remaining after the harvest of
17 the first rice crop. Ratoon crops are infrequent to non-existent in California, Mississippi, and Missouri. In 2012,
18 Arkansas reported a larger-than-usual ratoon crop (10 percent) due to an early rice harvest followed by warm
19 weather and heavy rains (ideal conditions for secondary growth and ratoon crops) (Hardke 2014). CH_4 emissions
20 from ratoon crops are considerably higher than those from the primary crops due to the lack of a delay between
21 cropping seasons (which would allow the stubble to decay aerobically) (Wang et al. 2013). Specifically, the amount
22 of organic material available for anaerobic decomposition during ratoon crop production is considerably higher than
23 the amount available with the first (i.e., primary) crop production.

24 Rice cultivation is a minor source of CH_4 emissions in the United States (see Table 5-10 and Table 5-11). In 2013,
25 CH_4 emissions from rice cultivation were 8.3 MMT CO_2 Eq. (332 kt). Annual emissions have fluctuated unevenly
26 between 1990 and 2013, ranging from an annual decrease of 24 percent from 2010 and 2011 to an annual increase of
27 18 percent from 2009 to 2010. There was an overall decrease of 16 percent between 1990 and 2006, due to an
28 overall decrease in primary crop area. However, between 2006 and 2013 emission levels have increased by
29 8 percent due to increased ratooning and changes in production areas. California, Louisiana and Texas reported an
30 increase in rice crop area from 2012 to 2013. All other states reported a decrease in rice crop area from 2012 to
31 2013. The factors that affect the rice acreage in any year vary from state to state and are typically the result of
32 weather phenomena (Baldwin et al. 2010).

33 **Table 5-10: CH_4 Emissions from Rice Cultivation (MMT CO_2 Eq.)**

State	1990		2005		2009	2010	2011	2012	2013
Primary	**6.7**		**8.0**		**7.3**	**8.6**	**6.2**	**6.3**	**5.8**
Arkansas	2.9		3.9		3.5	4.3	2.8	3.1	2.6
California	0.8		1.1		1.2	1.2	1.2	1.2	1.2
Florida	+		+		+	+	+	+	+
Louisiana	1.3		1.3		1.1	1.3	1.0	1.0	1.0
Mississippi	0.6		0.6		0.6	0.7	0.4	0.3	0.3
Missouri	0.2		0.5		0.5	0.6	0.3	0.4	0.4
Oklahoma	+		+		+	+	+	+	+
Texas	0.8		0.5		0.4	0.5	0.4	0.3	0.3
Ratoon	**2.5**		**1.0**		**2.1**	**2.5**	**2.3**	**3.0**	**2.5**
Arkansas	+		+		+	+	+	1.0	0.4
Florida	+		+		+	+	+	0.1	+
Louisiana	1.3		0.5		1.3	1.7	1.2	1.3	1.2
Texas	1.1		0.4		0.8	0.8	1.1	0.6	0.8
Total	**9.2**		**8.9**		**9.4**	**11.1**	**8.5**	**9.3**	**8.3**

[210] The roots of rice plants shed organic material, which is referred to as "root exudate." The amount of root exudate produced by a rice plant over a growing season varies among rice varieties.
[2] Oklahoma has also historically produced rice. 2007 was the most recent production year reported (77 hectares).

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
+ Less than 0.05 MMT CO_2 Eq.
Note: Totals may not sum due to independent rounding.

Table 5-11: CH$_4$ Emissions from Rice Cultivation (kt)

State	1990	2005	2009	2010	2011	2012	2013
Primary	**268**	**319**	**294**	**343**	**247**	**253**	**233**
Arkansas	115	157	141	171	111	123	103
California	34	45	48	48	50	48	48
Florida	1	1	1	1	2	1	2
Louisiana	52	50	45	51	40	38	40
Mississippi	24	25	23	29	15	12	12
Missouri	8	21	19	24	12	17	15
Oklahoma	+	+	+	+	+	+	+
Texas	34	19	16	18	17	13	14
Ratoon	**98**	**39**	**84**	**101**	**92**	**119**	**99**
Arkansas	+	1	+	+	+	41	17
Florida	2	+	2	2	2	2	2
Louisiana	52	22	51	68	46	50	50
Texas	45	17	31	32	44	26	31
Total	**366**	**358**	**378**	**444**	**339**	**372**	**332**

+ Less than 0.5 kt
Note: Totals may not sum due to independent rounding.

Methodology

IPCC Good Practice Guidance (GPG) (2000) recommends using harvested rice areas, and seasonally integrated emission factors (i.e., country specific emission factors that have been developed from standardized field measurements (representing the mix of different conditions that influence CH$_4$ emissions in the area) for each commonly occurring rice production system). To that end, the recommended GPG methodology and Tier 2 U.S.-specific seasonally integrated emission factors derived from U.S. based rice field measurements are used.

Regional emission factors were derived based on a literature review of recent research on CH$_4$ emissions from U.S. rice production. In California, some rice fields are flooded during the winter to prepare the fields for the next growing season, and to create waterfowl habitat (Young 2013). Winter flooded rice crops generate CH$_4$ year round due to the anaerobic conditions the winter flooding creates (Environmental Defense Fund 2011), and up to 50 percent of the CH$_4$ emissions occur in the winter (Fitzgerald et al. 2000). Thus for winter flooded rice crops in California, an annual CH$_4$ emission factor is used. For non-winter flooded California rice crops, a seasonal emission factor is applied as almost all of the CH$_4$ emissions occur during the growing season (Fitzgerald et al. 2000). California-specific winter flooded and non-winter flooded emission factors were applied to rice area harvested in California. Average U.S. seasonal emission factors were applied to Arkansas, Florida, Louisiana, Missouri, Mississippi, and Texas as there was not sufficient data to develop state-specific, or daily emission factors, or both. As described above, seasonal emissions are much higher for ratooned crops than for primary crops. Therefore, emissions from ratooned and primary areas are estimated separately using the appropriate representative emission factors. This approach is consistent with IPCC (2000).

To determine what CH$_4$ emission factors should be used for the primary and ratoon crops, CH$_4$ flux information from rice field measurements in the United States was collected. Experiments that involved atypical or non-representative management practices (e.g., the application of nitrate or sulfate fertilizers, or other substances believed to suppress CH$_4$ formation, or floodwaters were drained mid-season), as well as experiments in which measurements were not made over an entire flooding season were excluded from the analysis. The remaining experimental results were then sorted by state, season (i.e., primary and ratoon), flooding practices, and type of fertilizer amendment (i.e., no fertilizer added, organic fertilizer added, and synthetic and organic fertilizer added).

Eleven California-specific primary crop experimental results were added for California rice emissions starting with the 1990-2012 Inventory. These California-specific studies were selected because they met the criteria of experiments on primary crops with added synthetic and organic fertilizer, without residue burning, and without

1 winter flooding (Bossio et al. 1999; Fitzgerald et al. 2000). The seasonal emission rates estimated in these studies
2 were averaged to derive a seasonal emission factor for California's primary, non-winter flooded rice crop.
3 Similarly, separate California-specific studies meeting the same criteria, (i.e., primary crops with added synthetic
4 and organic fertilizer, without residue burning) but with winter flooding (Bossio et al. 1999; Fitzgerald et al. 2000;
5 McMillan et al. 2007) were averaged to derive an annual emission factor for California's primary, winter-flooded
6 rice crop. Approximately 60 percent of California's rice crop is winter-flooded (Environmental Defense Fund
7 2011), therefore the California-specific, winter flooded emission factor was applied to 60 percent of the California
8 rice area harvested and the California-specific, non-winter flooded emission factor was applied to the 40 percent of
9 the California rice area harvested. The resultant seasonal emission factor for the California, non-winter flooded crop
10 is 133 kg CH_4/hectare/season, and the annual emission factor for the California, winter-flooded crop is 266 kg
11 CH_4/hectare/season.

12 For the remaining states, a non-California U.S. seasonal emission factor was derived by averaging seasonal
13 emissions rates from primary crops with added synthetic and organic fertilizer (Byrd 2000; Kongchum 2005; Rogers
14 et al. 2011; Sass et al. 1991a, 1991b, 2002a, 2002b; Yao 2001). The seasonal emissions rates from ratoon crops
15 with added synthetic fertilizer (Lindau and Bollich 1993; Lindau et al. 1995) were averaged to derive a seasonal
16 emission factor for the ratoon crop. The resultant seasonal emission factor for the primary crop is
17 237 kg CH_4/hectare/season, and the resultant emission factor for the ratoon crop is 780 kg CH_4/hectare/season.

18 The harvested rice areas for the primary and ratoon crops in each state are presented in Table 5-12, and the ratooned
19 crop area as a percent of primary crop area is shown in Table 5-13. Primary crop areas for 1990 through 2013 for all
20 states except Florida and Oklahoma were taken from U.S. Department of Agriculture's *Field Crops Final Estimates*
21 *1987–1992* (USDA 1994), *Field Crops Final Estimates 1992–1997* (USDA 1998), *Field Crops Final Estimates*
22 *1997–2002* (USDA 2003), and *Crop Production Summary* (USDA 2005 through 2014). Source data for non-USDA
23 sources of primary and ratoon harvest areas are shown in Table 5-14. California, Mississippi, Missouri, and
24 Oklahoma have not ratooned rice over the period 1990 through 2013 (Anderson 2008 through 2014; Beighley 2011
25 through 2012; Buehring 2009 through 2011; Guethle 1999 through 2010; Lee 2003 through 2007; Mutters 2001
26 through 2005; Street 1999 through 2003; Walker 2005, 2007 through 2008).

27 **Table 5-12: Rice Area Harvested (Hectare)**

State/Crop	1990	2005	2009	2010	2011	2012	2013
Arkansas							
Primary	485,633	661,675	594,901	722,380	467,017	520,032	433,023
Ratoon[a]	-	662	6	7	5	52,003	21,651
California	159,854	212,869	225,010	223,796	234,723	225,415	227,034
Florida							
Primary	4,978	4,565	5,664	5,330	8,212	6,244	6,739
Ratoon	2,489	-	2,266	2,275	2,311	2,748	2,159
Louisiana							
Primary	220,558	212,465	187,778	216,512	169,162	160,664	167,139
Ratoon	66,168	27,620	65,722	86,605	59,207	64,265	63,513
Mississippi	101,174	106,435	98,341	122,622	63,537	52,206	50,182
Missouri	32,376	86,605	80,939	101,578	51,801	71,631	63,132
Oklahoma	617	271	-	-	-	-	-
Texas							
Primary	142,857	81,344	68,798	76,083	72,845	54,229	58,276
Ratoon	57,143	21,963	39,903	41,085	56,091	33,080	39,628
Total Primary	**1,148,047**	**1,366,228**	**1,261,431**	**1,468,300**	**1,067,298**	**1,090,421**	**1,005,525**
Total Ratoon	**125,799**	**50,245**	**107,897**	**129,971**	**117,613**	**152,096**	**126,951**
Total	**1,273,847**	**1,416,473**	**1,369,328**	**1,598,271**	**1,184,911**	**1,242,517**	**1,132,476**

[a] Arkansas ratooning occurred only in 1998, 1999, and 2005 through 2013, with particularly high ratoon rates in 2012 and 2013.
"-" No reported value
Note: Totals may not sum due to independent rounding.

1   **Table 5-13: Ratooned Area as Percent of Primary Growth Area**

State	1990		2005		2009	2010	2011	2012	2013
Arkansas	+		0.1%		+	+	+	10%	5%
Florida	50%		+		40%	43%	28%	44%	32%
Louisiana	30%		13%		35%	40%	35%	40%	38%
Texas	40%		27%		58%	54%	77%	61%	68%

+ Indicates ratooning less than 0.1 percent of primary growth area.

2   **Table 5-14: Non-USDA Data Sources for Rice Harvest Information**

State/Crop	1990		2005		2009	2010	2011	2012	2013
Arkansas – Ratoon[a]	Slaton		Wilson		Wilson (2009 – 2011)			Hardke (2012 – 2013)	
Florida – Primary[b]	Schueneman		Gonzalez		Gonzalez (2009 – 2013)				
Florida – Ratoon[c]	Schueneman		Gonzalez		Gonzalez (2009 – 2013)				
Louisiana – Ratoon[d]	Linscombe		Linscombe		Linscombe (2009 -2013)				
Oklahoma – Primary[e]	Lee		Lee		Anderson (2009 – 2013)				
Texas – Ratoon[f]	Klosterboer		TAES		Texas Agricultural Experiment Station (TAES) (2009 – 2013)				

[a]Arkansas: *1990 – 2000* (Slaton 1999 through 2001); *2001 – 2011* (Wilson 2002 through 2007, 2009 through 2012). *2012 – 2013* (Hardke 2013, 2014).
[b]Florida - Primary: *1990 – 2000* (Schueneman 1997, 1999 through 2001); *2001* (Deren 2002); *2002 – 2004* (Kirstein 2003 through 2004, 2006); *2005 – 2013* (Gonzalez 2007 through 2014)
[c]Florida - Ratoon: *1990 – 2000* (Schueneman 1997, 1999 through 2001); *2001* (Deren 2002); *2002 – 2003* (Kirstein 2003 through 2004, 2006); *2004* (Cantens 2004- 2005); *2005 – 2013* (Gonzalez 2007 through 2014)
[d]Louisiana: *1990 - 2013* (Linscombe 1999, 2001 through 2014).
[e]Oklahoma: *1990 – 2006* (Lee 2003 through 2007); *2007 – 2013* (Anderson 2008 through 2014).
[f]Texas: *1990 – 2002* (Klosterboer 1997, 1999 through 2003); *2003 – 2004* (Stansel 2004 through 2005); *2005* (Texas Agricultural Experiment Station 2006); *2006 – 2013* (Texas Agricultural Experiment Station 2007 – 2014).

3   **Box 5-1: Comparison of the U.S. Inventory Seasonal Emission Factors and IPCC (1996) Default Emission Factors**

4   Emissions from rice production were estimated using a Tier 2 methodology consistent with IPCC (2000) Good
5   Practice Guidance. Representative emission factors using experimentally determined seasonal CH_4 emissions from
6   U.S. rice fields for both primary and ratoon crops were derived from a literature review. Emissions are compared
7   with the *1996 IPCC Guidelines* default U.S. seasonal emission factor, and not the more recent *IPCC Good Practice*
8   *Guidance* (2000) standard global seasonal emission factor or the *2006 IPCC Guidelines* global daily emission factor.
9   The rationale for this comparison is that the evaluated studies were specific to the U.S., were regional specific
10   seasonal emission factors, and did not include daily emission factors or season length. As explained above, four
11   different emission factors were calculated: (1) a seasonal, California-specific factor without winter flooding (133 kg
12   CH_4/hectare/season), (2) an annual, California specific-factor with winter flooding (266 kg CH_4/hectare/year), (3) a
13   seasonal, non-California primary crop factor (237 kg CH_4/hectare/season), and (4) a seasonal, non-California ratoon
14   crop factor (780 kg CH_4/hectare/season). These emission factors represent averages across rice field measurements
15   representing typical water management practices and synthetic and organic amendment application practices in the
16   United States according to regional experts (Anderson 2013; Beighly 2012; Fife 2011; Gonzalez 2013; Linscombe
17   2013; Vayssières 2013; Wilson 2012). The IPCC (1996) default factor for U.S. (i.e., Texas) rice production for both
18   primary and ratoon crops is 250 kg CH_4/hectare/season. This default value is based on a study by Sass and Fisher
19   (1995) which reflects a growing season in Texas of approximately 275 days. Data results in the evaluated studies
20   were provided as seasonal emission factors; therefore, neither daily emission factors nor growing season length was
21   estimated. Some variability within season lengths in the evaluated studies is assumed. The Tier 2 emission factors
22   used here represent rice cultivation practices specific to the United States. For comparison, the 2013 U.S. emissions
23   from rice cultivation are 8.3 MMT CO_2 Eq. using the four U.S.-specific emission factors for both primary and
24   ratoon crops and 7.2 MMT CO_2 Eq. using the IPCC (1996) emission factor.

Table 5-15: Non-California Seasonal Emission Factors (kg CH$_4$/hectare/season)

Primary		Ratoon	
Minimum	61	Minimum	481
Maximum	500	Maximum	1490
Mean	237	Mean	780

Table 5-16: California Emission Factors (kg CH$_4$/hectare/year or season)

Winter Flooded (Annual)[b]		Non-Winter Flooded (Seasonal)[c]	
Minimum	131	Minimum	62
Maximum	369	Maximum	221
Mean	266	Mean	133

Note: See methodology text for why the emission factor is annual for winter flooded and
seasonal for non-winter flooded California rice production.
[b] Percentage of California rice crop winter flooded: 60 percent.
[c] Percentage of California rice crop not winter flooded: 40 percent.

Uncertainty and Time-Series Consistency

The largest uncertainty in the calculation of CH$_4$ emissions from rice cultivation is associated with the emission factors. Seasonal emissions, derived from field measurements in the United States, vary by more than one order of magnitude. This inherent variability is due to differences in cultivation practices, particularly fertilizer type, amount, and mode of application; differences in cultivar type; and differences in soil and climatic conditions. A portion of this variability is accounted for by separating primary from ratooned areas. However, even within a cropping season or a given management regime, measured emissions may vary significantly. Of the experiments used to derive the emission factors applied here, primary emissions ranged from 61 to 500 kg CH$_4$/hectare/season and ratoon emissions ranged from 481 to 1,490 kg CH$_4$/hectare/season. The uncertainty distributions around the California winter flooding, California non-winter flooding, non-California primary, and ratoon emission factors were derived using the distributions of the relevant emission factors available in the literature and described above. Variability around the rice emission factor means was not normally distributed for any crop system, but rather skewed, with a tail trailing to the right of the mean. A lognormal statistical distribution was, therefore, applied in the uncertainty analysis.

Other sources of uncertainty include the primary rice-cropped area for each state, percent of rice-cropped area that is ratooned, the length of the growing season, and the extent to which flooding outside of the normal rice season is practiced. Expert judgment was used to estimate the uncertainty associated with primary rice-cropped area for each state at 1 to 5 percent, and a normal distribution was assumed. Uncertainties were applied to ratooned area by state, based on the level of reporting performed by the state. Within California, the uncertainty associated with the percentage of rice fields that are winter flooded was estimated at plus and minus 20 percent. No uncertainty estimates were calculated for the practice of flooding outside of the normal rice season outside of California because CH$_4$ flux measurements have not been undertaken over a sufficient geographic range or under a broad enough range of representative conditions to account for this source in the emission estimates or its associated uncertainty.

To quantify the uncertainties for emissions from rice cultivation, a Monte Carlo (Tier 2) uncertainty analysis was performed using the information provided above. The results of the Tier 2 quantitative uncertainty analysis are summarized in Table 5-17. Rice cultivation CH$_4$ emissions in 2013 were estimated to be between 4.2 and 15.9 MMT CO$_2$ Eq. at a 95 percent confidence level, which indicates a range of 50 percent below to 91 percent above the actual 2013 emission estimate of 8.3 MMT CO$_2$ Eq.

Table 5-17: Tier 2 Quantitative Uncertainty Estimates for CH₄ Emissions from Rice Cultivation (MMT CO₂ Eq. and Percent)

Source	Gas	2013 Emission Estimate (MMT CO$_2$ Eq.)	Uncertainty Range Relative to Emission Estimate[a] (MMT CO$_2$ Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Rice Cultivation	CH$_4$	8.3	4.2	15.9	-50%	91%

Note: Emissions values are presented in CO$_2$ equivalent mass units using IPCC AR4 GWP values.

[a] Range of emissions estimates predicted by Monte Carlo Stochastic Simulation for a 95% confidence interval

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2013. Details on the emission trends through time are described in more detail in the Methodology section, above.

QA/QC and Verification

A source-specific QA/QC plan for rice cultivation was developed and implemented. This effort included a Tier 1 analysis, as well as portions of a Tier 2 analysis. The Tier 2 procedures focused on comparing trends across years, states, and cropping seasons to attempt to identify any outliers or inconsistencies. No problems were found.

Recalculations Discussion

For the current Inventory, emission estimates have been revised to reflect the GWPs provided in the IPCC Fourth Assessment Report (AR4) (IPCC 2007). AR4 GWP values differ slightly from those presented in the IPCC Second Assessment Report (SAR) (IPCC 1996) (used in the previous inventories) which results in time-series recalculations for most inventory sources. Under the most recent reporting guidelines (UNFCCC 2014), countries are required to report using the AR4 GWPs, which reflect an updated understanding of the atmospheric properties of each greenhouse gas. The GWPs of CH$_4$ and most fluorinated greenhouse gases have increased, leading to an overall increase in CO$_2$-equivalent emissions from CH$_4$. The GWPs of N$_2$O and SF$_6$ have decreased, leading to a decrease in CO$_2$-equivalent emissions for these greenhouse gases. The AR4 GWPs have been applied across the entire time series for consistency. For more information please see the Recalculations Chapter. As a result of the updated GWP value for CH$_4$, emissions estimates for each year from 1990 to 2012 increased by 19 percent relative to the emissions estimates in previous Inventory reports.

Additionally, the 2012 emission estimates were updated to reflect an increase in previously-reported ratooning in Arkansas. Rice was harvested early in 2012, after which a high percentage of "secondary growth" occurred. Estimated percent ratooning of secondary growth in 2012 increased from 5 to 10 percent (Hardke 2014), resulting in a 0.4 MMT CO$_2$ eq. (21 kt C) increase in emissions.

Planned Improvements

A planned improvement for the 1990 through 2014 Inventory will be the expansion of the California-specific rice emission factors to include an emission factor for the period prior to the passage of the Air Resources Board (ARB) Mandate phasing out rice residue burning. This non-flooded residue burned emission factor will take into account the phase down of rice straw burning that occurred in California from 1990 to 2002. During this time period, the percentage of acres burned annually decreased from 75 percent in 1992 to 13 percent in 2002 (California Air Resources Board 2003). California studies that include rice burning on non-flooded lands will be used to develop the pre-2002 rice burning emission factor, and further research will be conducted to determine the percentage of winter flooded area to which the current California winter flooded emission factor will be applied. This new time series dependent emission factor will be applied to non-flooded burned area during the 1990 through 2002 time period to capture the significant change in the percentage of rice area burned due to the California ARB Mandate. Following 2002, the current methodology and emission factors will be applied.

1   Another possible future improvement is to create additional state- or region-specific emission factors for rice
2   cultivation. This prospective improvement would likely not take place for another two to three years, because the
3   analyses needed for it are currently taking place.

5.4 Agricultural Soil Management (IPCC Source Category 4D)

6   Nitrous oxide (N_2O) is naturally produced in soils through the microbial processes of nitrification and denitrification
7   that is driven by the availability of mineral N (Firestone and Davidson 1989).[211] Mineral N is made available in
8   soils through decomposition of soil organic matter and plant litter, as well as asymbiotic fixation of N from the
9   atmosphere.[212] A number of agricultural activities directly or indirectly increase mineral nitrogen (N) availability in
10   soils, and therefore influence N_2O emissions occurring through nitrification and denitrification (see Figure 5-2)
11   (Mosier et al. 1998). Direct N increases occur through a variety of management practices, including fertilization;
12   application of managed livestock manure and other organic materials such as sewage sludge; deposition of manure
13   on soils by domesticated animals in pastures, rangelands, and paddocks (PRP) (i.e., by grazing animals and other
14   animals whose manure is not managed); production of N-fixing crops and forages; retention of crop residues; and
15   drainage of organic soils (i.e., soils with a high organic matter content, otherwise known as Histosols[213]) in
16   croplands and grasslands (IPCC 2006). Additionally, agricultural soil management activities, including irrigation,
17   drainage, tillage practices, and fallowing of land, can influence N mineralization by impacting moisture and
18   temperature regimes in soils. Indirect emissions of N_2O occur through two pathways: (1) volatilization and
19   subsequent atmospheric deposition of applied/mineralized N, and (2) surface runoff and leaching of
20   applied/mineralized N into groundwater and surface water.[214]

21   Direct and indirect emissions from agricultural lands (i.e., cropland and grassland as defined in Chapter 6,
22   *Representation of the U.S. Land Base Section*) are included in this section. As recommended by the IPCC (2006), N
23   mineralization from decomposition of soil organic matter and asymbiotic N fixation is also included in this section
24   for complete accounting of management impacts on greenhouse gas emission from managed land (see Methodolgy
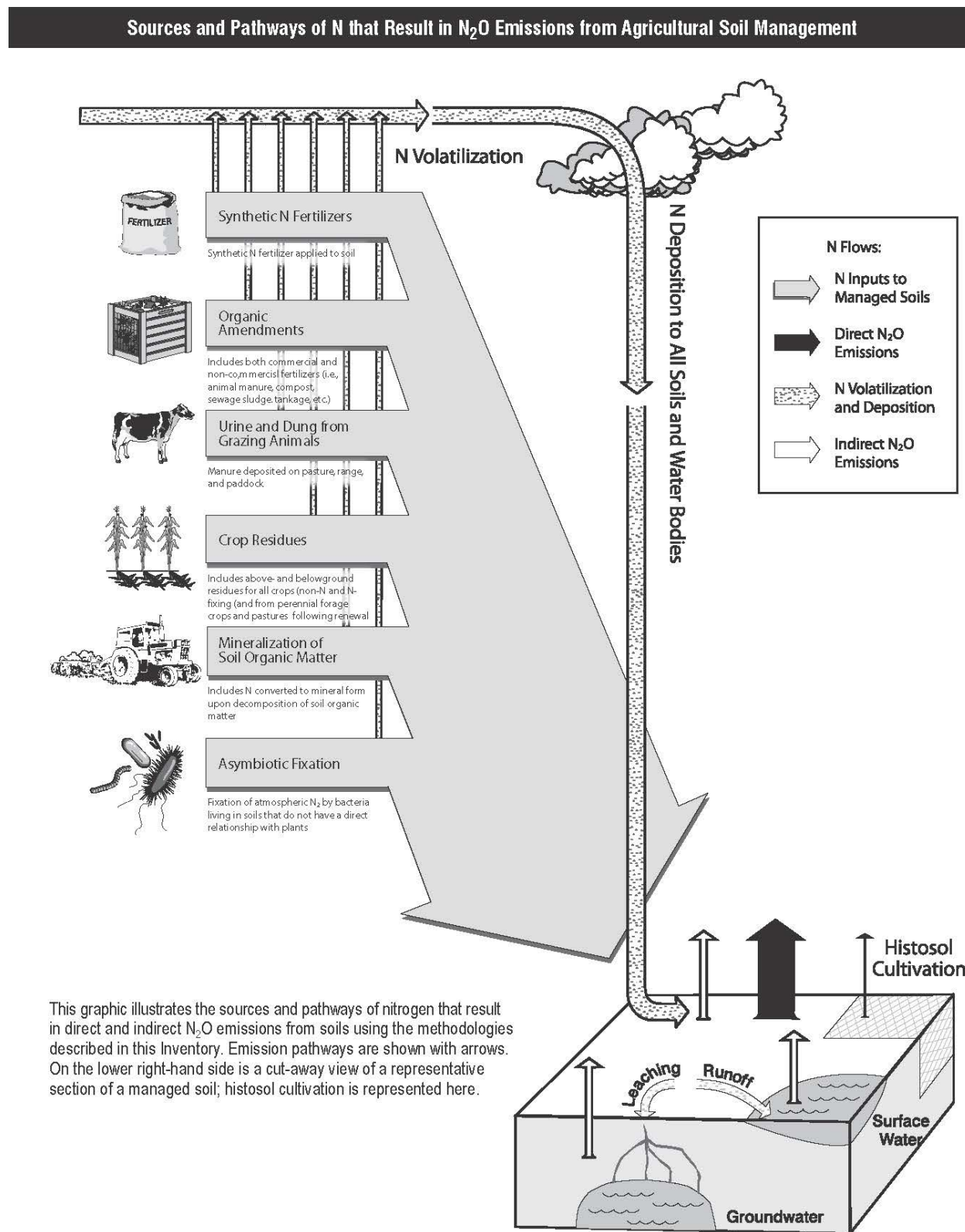25   section for more information).

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[211] Nitrification and denitrification are driven by the activity of microorganisms in soils. Nitrification is the aerobic microbial oxidation of ammonium (NH_4^+) to nitrate (NO_3^-), and denitrification is the anaerobic microbial reduction of nitrate to N_2. Nitrous oxide is a gaseous intermediate product in the reaction sequence of denitrification, which leaks from microbial cells into the soil and then into the atmosphere. Nitrous oxide is also produced during nitrification, although by a less well-understood mechanism (Nevison 2000).

[212] Asymbiotic N fixation is the fixation of atmospheric N_2 by bacteria living in soils that do not have a direct relationship with plants.

[213] Drainage of organic soils in former wetlands enhances mineralization of N-rich organic matter, thereby increasing N_2O emissions from these soils.

[214] These processes entail volatilization of applied or mineralized N as NH_3 and NO_x, transformation of these gases within the atmosphere (or upon deposition), and deposition of the N primarily in the form of particulate NH_4^+, nitric acid (HNO_3), and NO_x.

1 **Figure 5-2: Sources and Pathways of N that Result in N$_2$O Emissions from Agricultural Soil**
2 **Management**

Sources and Pathways of N that Result in N$_2$O Emissions from Agricultural Soil Management

N Volatilization

N Deposition to All Soils and Water Bodies

Synthetic N Fertilizers
Synthetic N fertilizer applied to soil

Organic Amendments
Includes both commercial and non-commercial fertilizers (i.e., animal manure, compost, sewage sludge, tankage, etc.)

Urine and Dung from Grazing Animals
Manure deposited on pasture, range, and paddock

Crop Residues
Includes above- and belowground residues for all crops (non-N and N-fixing (and from perennial forage crops and pastures following renewal)

Mineralization of Soil Organic Matter
Includes N converted to mineral form upon decomposition of soil organic matter

Asymbiotic Fixation
Fixation of atmospheric N$_2$ by bacteria living in soils that do not have a direct relationship with plants

N Flows:

N Inputs to Managed Soils

Direct N$_2$O Emissions

N Volatilization and Deposition

Indirect N$_2$O Emissions

This graphic illustrates the sources and pathways of nitrogen that result in direct and indirect N$_2$O emissions from soils using the methodologies described in this Inventory. Emission pathways are shown with arrows. On the lower right-hand side is a cut-away view of a representative section of a managed soil; histosol cultivation is represented here.

Histosol Cultivation

Leaching   Runoff

Surface Water

Groundwater

3

1 Agricultural soils produce the majority of N_2O emissions in the United States. Estimated emissions from this source
2 in 2013 were 263.7 MMT CO_2 Eq. (885 kt) (see Table 5-18 and Table 5-19) Annual N_2O emissions from
3 agricultural soils fluctuated between 1990 and 2013, although overall emissions were 17.7 percent higher in 2013
4 than in 1990. Year-to-year fluctuations are largely a reflection of annual variation in weather patterns, synthetic
5 fertilizer use, and crop production. From 1990 to 2013, on average cropland accounted for approximately 62
6 percent of total direct emissions, while grassland accounted for approximately 38 percent. The percentages for
7 indirect emissions on average are approximately 81 percent for croplands, 19 percent for grasslands. Estimated
8 direct and indirect N_2O emissions by sub-source category are shown in Table 5-20 and Table 5-21.

9 **Table 5-18: N_2O Emissions from Agricultural Soils (MMT CO_2 Eq.)**

Activity	1990	2005	2009	2010	2011	2012	2013
Direct	**190.8**	**208.6**	**225.3**	**225.4**	**226.3**	**226.1**	**224.7**
Cropland	117.1	130.6	136.0	136.2	137.2	137.6	135.7
Grassland	73.7	78.1	89.4	89.2	89.1	88.5	89.0
Indirect	**33.2**	**35.0**	**38.8**	**38.8**	**39.5**	**39.8**	**39.0**
Cropland	26.4	28.1	31.8	31.9	32.6	32.9	32.1
Grassland	6.8	6.9	6.9	6.9	6.9	6.9	6.9
Total	**224.0**	**243.6**	**264.1**	**264.3**	**265.8**	**266.0**	**263.7**

10 Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
11 + Less than 0.05 MMT CO_2 Eq.
12

13 **Table 5-19: N_2O Emissions from Agricultural Soils (kt)**

Activity	1990	2005	2009	2010	2011	2012	2013
Direct	**640**	**700**	**756**	**757**	**759**	**759**	**754**
Cropland	393	438	456	457	460	462	455
Grassland	247	262	300	299	299	297	299
Indirect (All Land-Use Types)	**111**	**117**	**130**	**130**	**133**	**134**	**131**
Cropland	88	94	107	107	109	110	108
Grassland	23	23	23	23	23	23	23
Total	**752**	**817**	**886**	**887**	**892**	**892**	**885**

14 **Table 5-20: Direct N_2O Emissions from Agricultural Soils by Land Use Type and N Input Type**
15 **(MMT CO_2 Eq.)**

Activity	1990	2005	2009	2010	2011	2012	2013
Cropland	**117.1**	**130.6**	**136.0**	**136.2**	**137.2**	**137.6**	**135.7**
Mineral Soils	**114.4**	**128.0**	**133.5**	**133.7**	**134.7**	**135.1**	**133.2**
Synthetic Fertilizer	49.4	54.3	57.8	59.2	60.2	60.7	58.8
Organic Amendment[a]	11.2	12.5	13.1	13.0	13.2	13.3	13.2
Residue N[b]	6.2	6.3	6.3	6.2	6.0	5.9	6.2
Mineralization and Asymbiotic Fixation	47.7	54.9	56.3	55.3	55.3	55.2	54.9
Organic Soils	**2.7**	**2.6**	**2.5**	**2.5**	**2.5**	**2.5**	**2.5**
Grassland	**73.7**	**78.1**	**89.4**	**89.2**	**89.1**	**88.5**	**89.0**
Mineral Soils	**71.4**	**75.8**	**87.2**	**87.1**	**86.9**	**86.4**	**86.9**
Synthetic Fertilizer	1.9	1.8	1.9	1.9	2.0	2.1	2.2
PRP Manure	16.5	17.5	18.2	18.0	17.6	17.2	17.2
Managed Manurec	0.2	0.3	0.3	0.3	0.3	0.3	0.3
Sewage Sludge	0.2	0.5	0.5	0.5	0.5	0.6	0.6
Residue Nd	1.8	2.1	2.3	2.3	2.3	2.3	2.3
Mineralization and Asymbiotic Fixation	50.7	53.6	64.0	64.0	64.1	63.9	64.3
Organic Soils	2.3	2.2	2.1	2.1	2.1	2.1	2.1
Total	**190.8**	**208.6**	**225.3**	**225.4**	**226.3**	**226.1**	**224.7**

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.

1 **Table 5-21: Indirect N$_2$O Emissions from Agricultural Soils (MMT CO$_2$ Eq.)**

Activity	1990		2005		2009	2010	2011	2012	2013
Cropland	**26.4**		**28.1**		**31.8**	**31.9**	**32.6**	**32.9**	**32.1**
Volatilization & Atm. Deposition	13.1		14.5		14.4	14.4	14.8	14.9	14.7
Surface Leaching & Run-Off	13.2		13.5		17.5	17.4	17.8	18.0	17.4
Grassland	**6.8**		**6.9**		**6.9**	**6.9**	**6.9**	**6.9**	**6.9**
Volatilization & Atm. Deposition	4.2		4.5		4.5	4.5	4.5	4.5	4.4
Surface Leaching & Run-Off	2.7		2.4		2.5	2.5	2.5	2.5	2.5
Total	**33.2**		**35.0**		**38.8**	**38.8**	**39.5**	**39.8**	**39.0**

Note: Emissions values are presented in CO$_2$ equivalent mass units using IPCC AR4 GWP values.
+ Less than 0.05 MMT CO$_2$ Eq.

2 Figure 5-3 and Figure 5-6 show regional patterns for direct N$_2$O emissions, and N losses from volatilization,
3 leaching, and runoff that lead to indirect N$_2$O emissions. Annual emissions and N losses in 2013 are shown for the
4 Tier 3 Approach only.

5 Direct N$_2$O emissions from croplands tend to be high in the Corn Belt (Illinois, Iowa, Indiana, Ohio, southern and
6 western Minnesota, and eastern Nebraska), where a large portion of the land is used for growing highly fertilized
7 corn and N-fixing soybean crops (Figure 5-3). Kansas has high direct emissions associated with N management in
8 wheat production systems. Hay production in Missouri and irrigated cropping systems in California also contribute
9 relatively large amounts of direct N$_2$O emissions, along with a combination of irrigated cropping in the west Texas
10 and hay production in east Texas. Direct emissions are low in many parts of the eastern United States because only
11 a small portion of land is cultivated and in many western states where rainfall and access to irrigation water are
12 limited).

13 Direct emissions from grasslands are highest in the central and western United States (Figure 5-4) where a high
14 proportion of the land is used for cattle grazing. In contrast, most areas in the Great Lake states, the Northeast, and
15 Southeast have moderate to low emissions due to less land dedicated to livestock grazing. However, emissions from
16 the Northeast and Great Lake states tend to be higher on a per unit area basis compared to other areas in the country.
17 This effect is likely due to a larger impact of freeze-thaw cycles in these regions, and possibly greater water-filled
18 pore space in the soil, which is key driver of N$_2$O emissions (Kessavalou et al. 1998, Bateman and Baggs 2005).

19 Indirect emissions from croplands and grasslands (Figure 5-5 and Figure 5-6) show similar emission patterns to
20 those of direct emissions because the same driving variables (N inputs, weather patterns, soil characteristics) are
21 controlling both types of emissions. There are some exceptions to the similarity in patterns, however, because the
22 processes that contribute to indirect emissions (NO$_3^-$ leaching, N volatilization) do not respond in exactly the same
23 manner to the driving variables as the processes that contribute to direct emissions (nitrification and denitrification).

1 **Figure 5-3: Crops, Annual Direct N$_2$O Emissions Estimated Using the Tier 3 DAYCENT Model,**
2 **1990-2013 (MMT CO$_2$ Eq./year)**

3

1   **Figure 5-4: Grasslands, Annual Direct N$_2$O Emissions Estimated Using the Tier 3 DAYCENT**
2   **Model, 1990-2013 (MMT CO$_2$ Eq./year)**

3
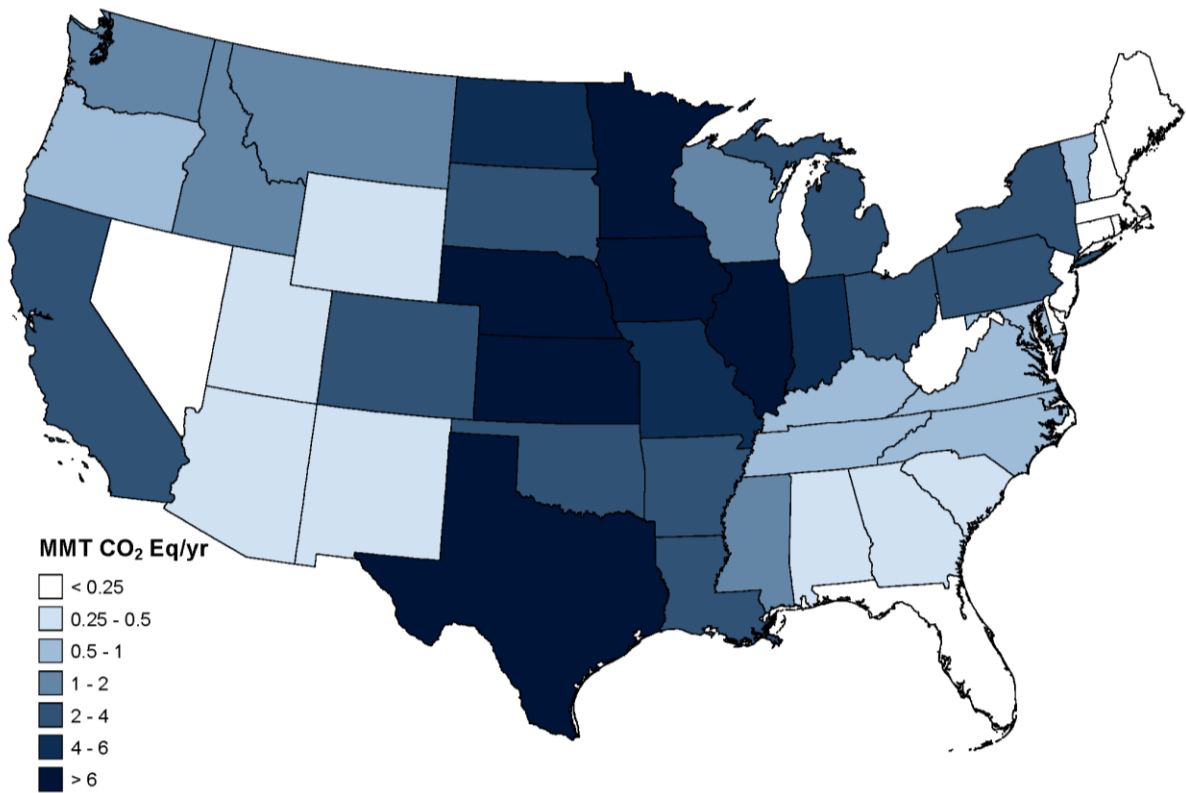
Figure 5-5: Crops, Average Annual N Losses Leading to Indirect N$_2$O Emissions Estimated
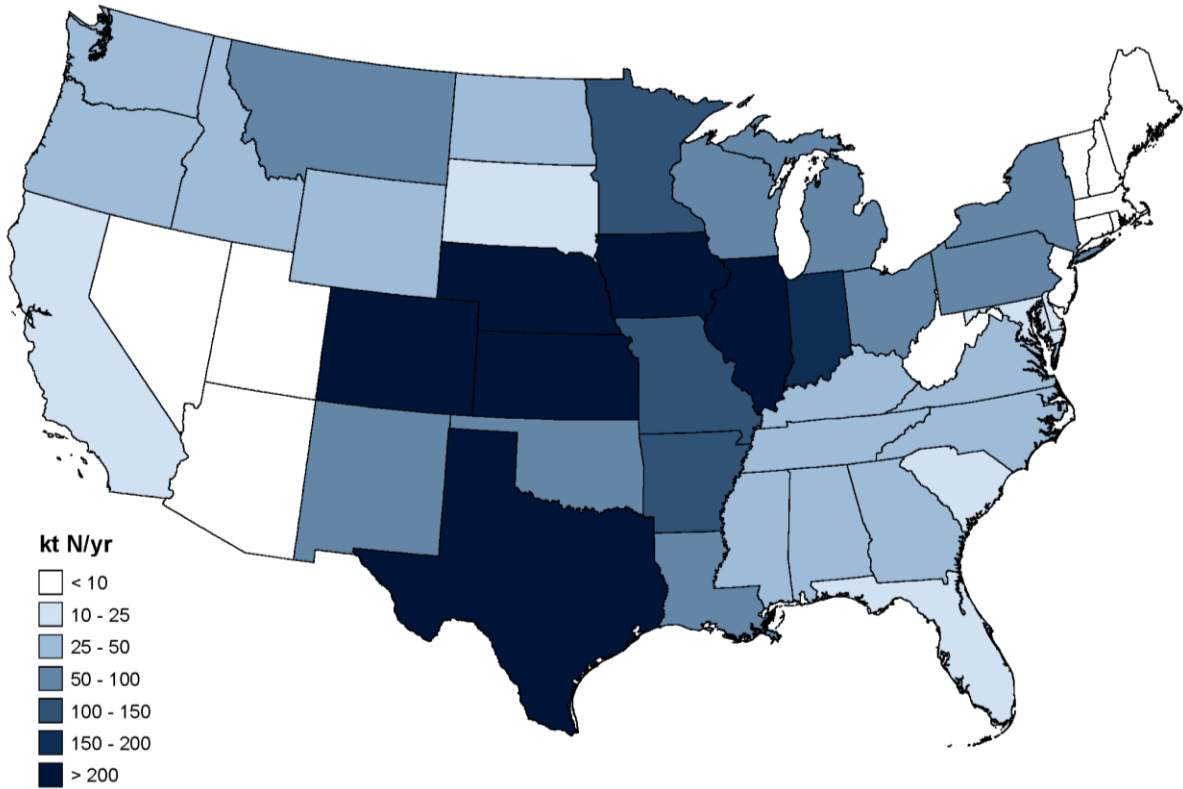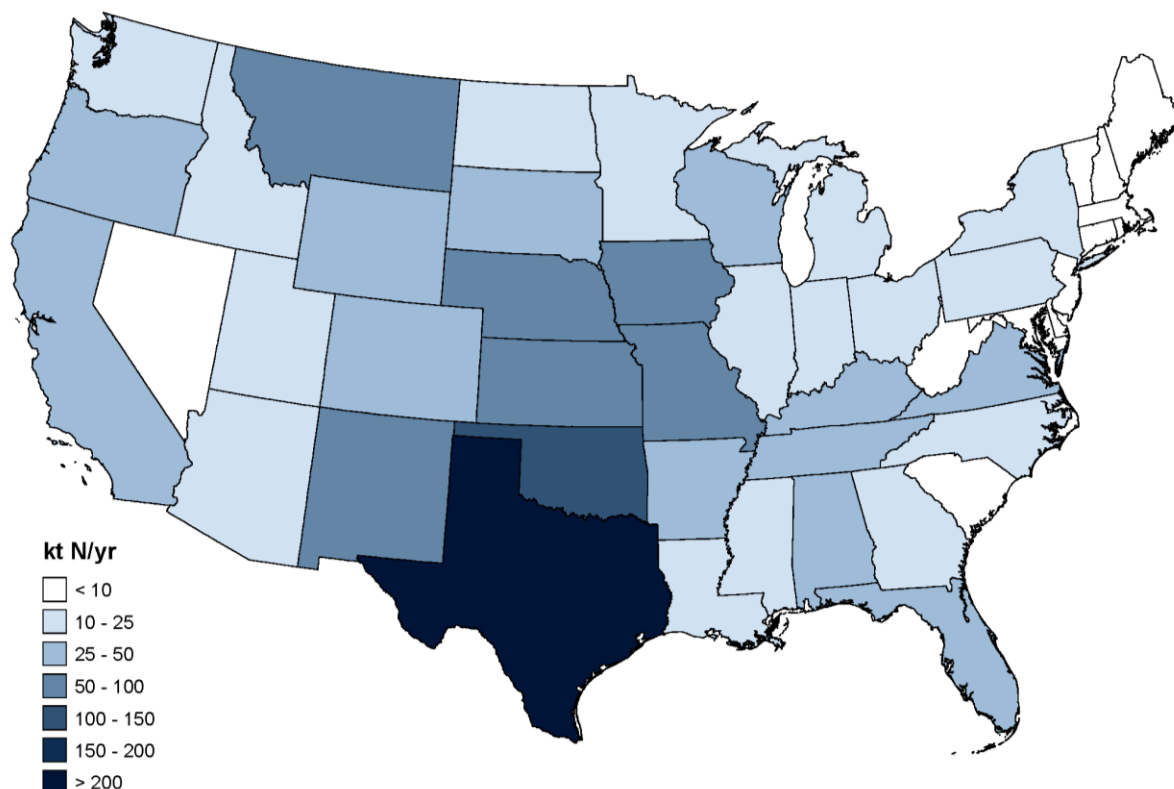**Using the Tier 3 DAYCENT Model, 1990-2013 (kt N/year)**

kt N/yr

- < 10
- 10 - 25
- 25 - 50
- 50 - 100
- 100 - 150
- 150 - 200
- > 200

Figure 5-6: Grasslands, Average Annual N Losses Leading to Indirect N$_2$O Emissions Estimated Using the Tier 3 DAYCENT Model, 1990-2013 (kt N/year)

Methodology

The *2006 IPCC Guidelines* (IPCC 2006) divide emissions from the *Agricultural Soil Management* source category into five components, including (1) direct emissions from N additions to cropland and grassland mineral soils from synthetic fertilizers, sewage sludge applications, crop residues, organic amendments, and biological N fixation associated with planting of legumes on cropland and grassland soils; (2) direct emissions from soil organic matter mineralization due to land use and management change, (3) direct emissions from drainage of organic soils in croplands and grasslands; (4) direct emissions from soils due to manure deposited by livestock on PRP grasslands; and (5) indirect emissions from soils and water from N additions and manure deposition to soils that lead to volatilization, leaching, or runoff of N and subsequent conversion to N$_2$O.

The United States has adopted recommendations from IPCC (2006) on methods for agricultural soil management. These recommendations include (1) estimating the contribution of N in crop residues to indirect soil N$_2$O emissions; (2) adopting the revised emission factor for direct N$_2$O emissions for Tier 1 methods used in the Inventory (described later in this section); (3) removing double counting of emissions from N-fixing crops associated with biological N fixation and crop residue N input categories; (4) using revised crop residue statistics to compute N inputs to soils from harvest yield data for; and (5) estimating emissions associated with land use and management change (which can significantly change the N mineralization rates from soil organic matter).[215] The Inventory also reports on total emissions from all managed land, which is a proxy for anthropogenic impacts on greenhouse gas emissions (IPCC 2006), including direct and indirect N$_2$O emissions from asymbiotic fixation and mineralization of

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[215] N inputs from asymbiotic N fixation are not directly addressed in *2006 IPCC Guidelines*, but are a component of the total emissions from managed lands and are included in the Tier 3 approach developed for this source.

1   soil organic matter and litter. One recommendation from IPCC (2006) that has not been completely adopted is the
2   accounting of emissions from pasture renewal, which involves occasional plowing to improve forage production in
3   pastures. The exception is pastures that are replanted occasionally in rotation with annual crops, and this practice is
4   represented in the Inventory.

Direct N$_2$O Emissions

6   The methodology used to estimate direct N$_2$O emissions from agricultural soil management in the United States is
7   based on a combination of IPCC Tier 1 and 3 approaches (IPCC 2006, Del Grosso et al. 2010). A Tier 3 process-
8   based model (DAYCENT) was used to estimate direct emissions from a variety of crops that are grown on mineral
9   (i.e., non-organic) soils, including alfalfa hay, barley, corn, cotton, dry beans, grass hay, grass-clover hay, oats,
10   onions, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tomatoes, and wheat; as well as the
11   direct emissions from non-federal grasslands with the exception of sewage sludge amendments (Del Grosso et al.
12   2010). The Tier 3 approach has been specifically designed and tested to estimate N$_2$O emissions in the United
13   States, accounting for more of the environmental and management influences on soil N$_2$O emissions than the IPCC
14   Tier 1 method (see Box 5-2 for further elaboration). Moreover, the Tier 3 approach allows for the inventory to
15   address direct N$_2$O emissions and soil C stock changes from mineral cropland soils in a single analysis. Carbon and
16   N dynamics are linked in plant-soil systems through biogeochemical processes of microbial decomposition and plant
17   production (McGill and Cole 1981). Coupling the two source categories (i.e., agricultural soil C and N$_2$O) in a
18   single inventory analysis ensures that there is a consistent activity data and treatment of the processes, and
19   interactions are taken into account between C and N cycling in soils.

20   The Tier 3 approach is based on the cropping and land use histories recorded in the USDA National Resources
21   Inventory (NRI) survey (USDA-NRCS 2009). The NRI is a statistically-based sample of all non-federal land, and
22   includes 380,956 points in agricultural land for the conterminous United States that are included in the Tier 3
23   methods.[216] Each point is associated with an "expansion factor" that allows scaling of N$_2$O emissions from NRI
24   points to the entire country (i.e., each expansion factor represents the amount of area with the same land-
25   use/management history as the sample point). Land-use and some management information (e.g., crop type, soil
26   attributes, and irrigation) were originally collected for each NRI point on a 5-year cycle beginning in 1982. For
27   cropland, data were collected in 4 out of 5 years in the cycle (i.e., 1979-1982, 1984-1987, 1989-1992, and 1994-
28   1997). In 1998, the NRI program began collecting annual data, and the annual and data are currently available
29   through 2010 (USDA-NRCS 2013) although this Inventory only uses NRI data through 2007 because newer data
30   were not made available in time to incorporate the additional years into this Inventory.

31   **Box 5-2: Tier 1 vs. Tier 3 Approach for Estimating N$_2$O Emissions**

32   The IPCC (2006) Tier 1 approach is based on multiplying activity data on different N inputs (e.g., synthetic
33   fertilizer, manure, N fixation, etc.) by the appropriate default IPCC emission factors to estimate N$_2$O emissions on
34   an input-by-input basis. The Tier 1 approach requires a minimal amount of activity data, readily available in most
35   countries (e.g., total N applied to crops); calculations are simple; and the methodology is highly transparent. In
36   contrast, the Tier 3 approach developed for this Inventory employs a process-based model (i.e., DAYCENT) that
37   represents the interaction of N inputs and the environmental conditions at specific locations. Consequently, the Tier
38   3 approach produces more accurate estimates; it accounts more comprehensively for land-use and management
39   impacts and their interaction with environmental factors (i.e., weather patterns and soil characteristics), which will
40   enhance or dampen anthropogenic influences. However, the Tier 3 approach requires more detailed activity data
41   (e.g., crop-specific N amendment rates), additional data inputs (e.g., daily weather, soil types, etc.), and considerable
42   computational resources and programming expertise. The Tier 3 methodology is less transparent, and thus it is
43   critical to evaluate the output of Tier 3 methods against measured data in order to demonstrate the adequacy of the
44   method for estimating emissions (IPCC 2006). Another important difference between the Tier 1 and Tier 3
45   approaches relates to assumptions regarding N cycling. Tier 1 assumes that N added to a system is subject to N$_2$O
46   emissions only during that year and cannot be stored in soils and contribute to N$_2$O emissions in subsequent years.

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[216] NRI points were classified as "agricultural" if under grassland or cropland management between 1990 and 2007. There are
another 148,731 NRI survey points that are cropland and are not included in the Tier 3 analysis. The soil N$_2$O emissions
associated with these points are estimated with the IPCC Tier 1 method.

1 This is a simplifying assumption that is likely to create bias in estimated N_2O emissions for a specific year. In
2 contrast, the process-based model used in the Tier 3 approach includes the legacy effect of N added to soils in
3 previous years that is re-mineralized from soil organic matter and emitted as N_2O during subsequent years.

4

5 DAYCENT was not used to estimate N_2O emissions for all land areas. DAYCENT was used to estimate N_2O
6 emissions associated with production of alfalfa hay, barley, corn, cotton, dry beans, grass hay, grass-clover hay,
7 oats, onions, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tomatoes, and wheat, but was not
8 applied to estimate N_2O emissions from other crops or rotations with other crops[217], such as sugarcane, some
9 vegetables, tobacco, and perennial/horticultural crops. Areas that are converted between agriculture (i.e., cropland
10 and grassland) and other land uses, such as forest land, wetland and settlements, were not simulated with
11 DAYCENT. DAYCENT was also not used to estimate emissions from land areas with very gravelly, cobbly, or
12 shaley soils (greater than 35 percent by volume), or to estimate emissions from organic soils (Histosols). The Tier 3
13 method has not been fully tested for estimating N_2O emissions associated with these crops and rotations, land uses,
14 as well as organic soils or cobbly, gravelly, and shaley mineral soils. In addition, federal grassland areas were not
15 simulated with DAYCENT due to limited activity on land use histories. Consequently, the Tier 1 IPCC (2006)
16 methodology was used to estimate (1) direct emissions from crops on mineral soils that are not simulated by
17 DayCent; (2) direct emissions from Pasture/Range/Paddock (PRP) on federal grasslands, which were not estimated
18 with the Tier 3 DAYCENT model; and (3) direct emissions from drainage of organic soils in croplands and
19 grasslands.

Tier 3 Approach for Mineral Cropland Soils

21 The DAYCENT biogeochemical model (Parton et al. 1998; Del Grosso et al. 2001, 2011) was used to estimate
22 direct N_2O emissions from mineral cropland soils that are managed for production of a wide variety of crops based
23 on the cropping histories in the 2009 NRI (USDA-NRCS 2009). The crops include alfalfa hay, barley, corn, cotton,
24 dry beans, grass hay, grass-clover hay, oats, onions, peanuts, potatoes, rice, sorghum, soybeans, sugar beets,
25 sunflowers, tomatoes, and wheat. Crops simulated by DAYCENT are grown on approximately 93 percent of total
26 cropland area in the United States. For agricultural systems in the central region of the United States, crop
27 production for key crops (i.e., corn, soybeans, sorghum, cotton and wheat) is simulated in DAYCENT with a
28 NASA-CASA production algorithm (Potter et al.1993; Potter et al. 2007) using the MODIS Enhanced Vegetation
29 Index (EVI) products, MOD13Q1 and MYD13Q1, with a pixel resolution of 250m.[218] A prediction algorithm was
30 developed to estimate EVI (Gurung et al. 2009) for gap-filling during years over the Inventory time series when EVI
31 data were not available (e.g., data from the MODIS sensor were only available after 2000 following the launch of
32 the Aqua and Terra Satellites; see Annex 3.11 for more information). DAYCENT also simulated soil organic matter
33 decomposition, greenhouse gas fluxes, and key biogeochemical processes affecting N_2O emissions.

34 DAYCENT was used to estimate direct N_2O emissions due to mineral N available from the following sources: (1)
35 the application of synthetic fertilizers; (2) the application of livestock manure; (3) the retention of crop residues and
36 subsequent mineralization of N during microbial decomposition (i.e., leaving residues in the field after harvest
37 instead of burning or collecting residues); and (4) mineralization of soil organic matter, in addition to asymbiotic
38 fixation. Note that commercial organic fertilizers (TVA 1991 through 1994; AAPFCO 1995 through 2011) are
39 addressed with the Tier 1 method because county-level application data would be needed to simulate applications in
40 DAYCENT, and currently data are only available at the national scale. The third and fourth sources are generated
41 internally by the DAYCENT model.

42 Synthetic fertilizer data were based on fertilizer use and rates by crop type for different regions of the United States
43 that were obtained primarily from the USDA Economic Research Service Cropping Practices Survey (USDA-ERS
44 1997, 2011) with additional data from other sources, including the National Agricultural Statistics Service (NASS
45 1992, 1999, 2004). Frequency and rates of livestock manure application to cropland during 1997 were estimated
46 from data compiled by the USDA Natural Resources Conservation Service (Edmonds et al. 2003), and then adjusted

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[217] A small proportion of the major commodity crop production, such as corn and wheat, is included in the Tier 1 analysis
because these crops are rotated with other crops or land uses (e.g., forest lands) that are not simulated by DAYCENT.
[218] See <https://lpdaac.usgs.gov/products/modis_products_table>.

1 using county-level estimates of manure available for application in other years. The adjustments were based on
2 county-scale ratios of manure available for application to soils in other years relative to 1997 (see Annex 3.12 for
3 further details). Greater availability of managed manure N relative to 1997 was assumed to increase the area
4 amended with manure, while reduced availability of manure N relative to 1997 was assumed to reduce the amended
5 area. Data on the county-level N available for application were estimated for managed systems based on the total
6 amount of N excreted in manure minus N losses during storage and transport, and including the addition of N from
7 bedding materials. N losses include direct N_2O emissions, volatilization of ammonia and NO_x, runoff and leaching,
8 and poultry manure used as a feed supplement. For unmanaged systems, it is assumed that no N losses or additions
9 occur prior to the application of manure to the soil. More information on livestock manure production is available in
10 the Manure Management Section 5.2 and Annex 3.11.

11 The IPCC approach considers crop residue N and N mineralized from soil organic matter as activity data. However,
12 they are not treated as activity data in DAYCENT simulations because residue production, symbiotic N fixation
13 (e.g., legumes), mineralization of N from soil organic matter, and asymbiotic N fixation are internally generated by
14 the model as part of the simulation. In other words, DAYCENT accounts for the influence of symbiotic N fixation,
15 mineralization of N from soil organic matter and crop residue retained in the field, and asymbiotic N fixation on
16 N_2O emissions, but these are not model inputs. The DAYCENT simulations also accounted for the approximately 3
17 percent of all crop residues that were assumed to be burned based on state inventory data (ILENR 1993; Oregon
18 Department of Energy 1995; Noller 1996; Wisconsin Department of Natural Resources 1993; Cibrowski 1996), and
19 therefore N_2O emissions were reduced by 3 percent from crop residues to account for the burning.

20 Additional sources of data were used to supplement the mineral N (USDA ERS 1997, 2011), livestock manure
21 (Edmonds et al. 2003), and land-use information (USDA-NRCS 2009). The Conservation Technology Information
22 Center (CTIC 2004) provided annual data on tillage activity with adjustments for long-term adoption of no-till
23 agriculture (Towery 2001). Tillage data has an influence on soil organic matter decomposition and subsequent soil
24 N_2O emissions. The time series of tillage data began in 1989 and ended in 2004, so further changes in tillage
25 practices since 2004 are not currently captured in the inventory. Daily weather data were used as an input in the
26 model simulations, based on gridded weather data at a 32 km scale from the North America Regional Reanalysis
27 Product (NARR) (Mesinger et al. 2006). Soil attributes were obtained from the Soil Survey Geographic Database
28 (SSURGO) (Soil Survey Staff 2011).

29 Each 2009 NRI point was run 100 times as part of the uncertainty assessment, yielding a total of over 18 million
30 simulations for the analysis. Soil N_2O emission estimates from DAYCENT were adjusted using a structural
31 uncertainty estimator accounting for uncertainty in model algorithms and parameter values (Del Grosso et al. 2010).
32 Soil N_2O emissions and 95 percent confidence intervals were estimated for each year between 1990 and 2007, but
33 emissions from 2008 to 2013 were assumed to be similar to 2007. Annual data are currently available through 2010
34 (USDA-NRCS 2013). However, this Inventory only uses NRI data through 2007 because newer data were not made
35 available in time to incorporate the additional years into this Inventory.

36 Nitrous oxide emissions from managed agricultural lands are the result of interactions among anthropogenic
37 activities (e.g., N fertilization, manure application, tillage) and other driving variables, such as weather and soil
38 characteristics. These factors influence key processes associated with N dynamics in the soil profile, including
39 immobilization of N by soil microbial organisms, decomposition of organic matter, plant uptake, leaching, runoff,
40 and volatilization, as well as the processes leading to N_2O production (nitrification and denitrification). It is not
41 possible to partition N_2O emissions into each anthropogenic activity directly from model outputs due to the
42 complexity of the interactions (e.g., N_2O emissions from synthetic fertilizer applications cannot be distinguished
43 from those resulting from manure applications). To approximate emissions by activity, the amount of mineral N
44 added to the soil for each of these sources was determined and then divided by the total amount of mineral N that
45 was made available in the soil according to the DAYCENT model. The percentages were then multiplied by the
46 total of direct N_2O emissions in order to approximate the portion attributed to key practices. This approach is only
47 an approximation because it assumes that all N made available in soil has an equal probability of being released as
48 N_2O, regardless of its source, which is unlikely to be the case (Delgado et al. 2009). However, this approach allows
49 for further disaggregation of emissions by source of N, which is valuable for reporting purposes and is analogous to
50 the reporting associated with the IPCC (2006) Tier 1 method, in that it associates portions of the total soil N_2O
51 emissions with individual sources of N.

52 *Tier 1 Approach for Mineral Cropland Soils*

The IPCC (2006) Tier 1 methodology was used to estimate direct N_2O emissions for mineral cropland soils that are not simulated by DAYCENT. For the Tier 1 Approach, estimates of direct N_2O emissions from N applications were based on mineral soil N that was made available from the following practices: (1) the application of synthetic commercial fertilizers; (2) application of managed manure and non-manure commercial organic fertilizers; and (3) the retention of above- and below-ground crop residues in agricultural fields (i.e., crop biomass that is not harvested). Non-manure, commercial organic amendments were not included in the DAYCENT simulations because county-level data were not available.[219] Consequently, commercial organic fertilizer, as well as additional manure that was not added to crops in the DAYCENT simulations, were included in the Tier 1 analysis. The following sources were used to derive activity data:

- A process-of-elimination approach was used to estimate synthetic N fertilizer additions for crop areas not simulated by DAYCENT. The total amount of fertilizer used on farms has been estimated at the county-level by the USGS from sales records (Ruddy et al. 2006), and these data were aggregated to obtain state-level N additions to farms. For 2002 through 2013, state-level fertilizer for on-farm use is adjusted based on annual fluctuations in total U.S. fertilizer sales (AAPFCO 1995 through 2007, AAPFCO 2008 through 2014).[220] After subtracting the portion of fertilizer applied to crops and grasslands simulated by DAYCENT (see Tier 3 Approach for Cropland Mineral Soils Section and Grasslands Section for information on data sources), the remainder of the total fertilizer used on farms was assumed to be applied to crops that were not simulated by DAYCENT.
- Similarly, a process-of-elimination approach was used to estimate manure N additions for crops that were not simulated by DAYCENT. The amount of manure N applied in the Tier 3 approach to crops and grasslands was subtracted from total manure N available for land application (see Tier 3 Approach for Cropland Mineral Soils Section and Grasslands Section for information on data sources), and this difference was assumed to be applied to crops that are not simulated by DAYCENT.
- Commercial organic fertilizer additions were based on organic fertilizer consumption statistics, which were converted to units of N using average organic fertilizer N content (TVA 1991 through 1994; AAPFCO 1995 through 2011). Commercial fertilizers do include some manure and sewage sludge, but the amounts are removed from the commercial fertilizer data to avoid double counting with the manure N dataset described above and the sewage sludge amendment data discussed later in this section.
- Crop residue N was derived by combining amounts of above- and below-ground biomass, which were determined based on crop production yield statistics (USDA-NASS 2014), dry matter fractions (IPCC 2006), linear equations to estimate above-ground biomass given dry matter crop yields from harvest (IPCC 2006), ratios of below-to-above-ground biomass (IPCC 2006), and N contents of the residues (IPCC 2006).

The total increase in soil mineral N from applied fertilizers and crop residues was multiplied by the IPCC (2006) default emission factor to derive an estimate of direct N_2O emissions using the Tier 1 Approach.

Drainage of Organic Soils in Croplands and Grasslands

The IPCC (2006) Tier 1 methods were used to estimate direct N_2O emissions due to drainage of organic soils in croplands or grasslands at a state scale. State-scale estimates of the total area of drained organic soils were obtained from the 2009 NRI (USDA-NRCS 2009) using soils data from the Soil Survey Geographic Database (SSURGO) (Soil Survey Staff 2011). Temperature data from Daly et al. (1994, 1998) were used to subdivide areas into temperate and tropical climates using the climate classification from IPCC (2006). Annual data were available between 1990 and 2007. Emissions are assumed to be similar to 2007 from 2008 to 2013 because no additional activity data are currently available from the NRI for the latter years. To estimate annual emissions, the total temperate area was multiplied by the IPCC default emission factor for temperate regions, and the total tropical area was multiplied by the IPCC default emission factor for tropical regions (IPCC 2006).

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[219] Commercial organic fertilizers include dried blood, tankage, compost, and other, but the dried manure and sewage sludge is removed from the dataset in order to avoid double counting with other datasets that are used for manure N and sewage sludge.

[220] Values were not available for 2013 so a "least squares line" statistical extrapolation using the previous 5 years of data is used to arrive at an approximate value.

Direct N₂O Emissions from Grassland Soils

As with N_2O from croplands, the Tier 3 process-based DAYCENT model and Tier 1 method described in IPCC (2006) were combined to estimate emissions from non-federal grasslands and PRP manure N additions for federal grasslands, respectively. Grassland includes pasture and rangeland that produce grass forage primarily for livestock grazing. Rangelands are typically extensive areas of native grassland that are not intensively managed, while pastures are typically seeded grassland (possibly following tree removal) that may also have addition management, such as irrigation or interseeding legumes. DAYCENT was used to simulate N_2O emissions from NRI survey locations (USDA-NRCS 2009) on non-federal grasslands resulting from manure deposited by livestock directly onto pastures and rangelands (i.e., PRP manure), N fixation from legume seeding, managed manure amendments (i.e., manure other than PRP manure such as Daily Spread), and synthetic fertilizer application. Other N inputs were simulated within the DAYCENT framework, including N input from mineralization due to decomposition of soil organic matter and N inputs from senesced grass litter, as well as asymbiotic fixation of N from the atmosphere. The simulations used the same weather, soil, and synthetic N fertilizer data as discussed under the Tier 3 Approach for Mineral Cropland Soils section. Managed manure N amendments to grasslands were estimated from Edmonds et al. (2003) and adjusted for annual variation using data on the availability of managed manure N for application to soils, according to methods described in the Manure Management section (5.2 Manure Management (IPCC Source Category 4B)) and Annex 3.11. Biological N fixation is simulated within DAYCENT, and therefore was not an input to the model.

Manure N deposition from grazing animals in PRP systems (i.e., PRP manure) is another key input of N to grasslands. The amounts of PRP manure N applied on non-federal grasslands for each NRI point were based on amount of N excreted by livestock in PRP systems. The total amount of N excreted in each county was divided by the grassland area to estimate the N input rate associated with PRP manure. The resulting input rates were used in the DAYCENT simulations. DAYCENT simulations of non-federal grasslands accounted for approximately 68 percent of total PRP manure N in aggregate across the country. The remainder of the PRP manure N in each state was assumed to be excreted on federal grasslands, and the N_2O emissions were estimated using the IPCC (2006) Tier 1 method with IPCC default emission factors. Sewage sludge was assumed to be applied on grasslands because of the heavy metal content and other pollutants in human waste that limit its use as an amendment to croplands. Sewage sludge application was estimated from data compiled by EPA (1993, 1999, 2003), McFarland (2001), and NEBRA (2007). Sewage sludge data on soil amendments to agricultural lands were only available at the national scale, and it was not possible to associate application with specific soil conditions and weather at the county scale. Therefore, DAYCENT could not be used to simulate the influence of sewage sludge amendments on N_2O emissions from grassland soils, and consequently, emissions from sewage sludge were estimated using the IPCC (2006) Tier 1 method.

Grassland area data were consistent with the Land Representation reported in Section 7.1 for the conterminous United States. Data were obtained from the U.S. Department of Agriculture NRI (Nusser and Goebel 1998) and the U.S. Geological Survey (USGS) National Land Cover Dataset (Vogelman et al. 2001), which were reconciled with the Forest Inventory and Analysis Data. The area data for pastures and rangeland were aggregated to the county level to estimate non-federal and federal grassland areas.

N_2O emissions for the PRP manure N deposited on federal grasslands and applied sewage sludge N were estimated using the Tier 1 method by multiplying the N input by the appropriate emission factor. Emissions from manure N were estimated at the state level and aggregated to the entire country, but emissions from sewage sludge N were calculated exclusively at the national scale.

As previously mentioned, each NRI point was simulated 100 times as part of the uncertainty assessment, yielding a total of over 18 million simulation runs for the analysis. Soil N_2O emission estimates from DAYCENT were adjusted using a structural uncertainty estimator accounting for uncertainty in model algorithms and parameter values (Del Grosso et al. 2010). Soil N_2O emissions and 95 percent confidence intervals were estimated for each year between 1990 and 2007, but emissions from 2008 to 2013 were assumed to be similar to 2007. The annual data are currently available through 2010 (USDA-NRCS 2013). However, this Inventory only uses NRI data through 2007 because newer data were not made available in time to incorporate the additional years into this Inventory.

Total Direct N₂O Emissions from Cropland and Grassland Soils

Annual direct emissions from the Tier 1 and 3 approaches for cropland mineral soils, from drainage and cultivation of organic cropland soils, and from grassland soils were summed to obtain the total direct N_2O emissions from agricultural soil management (see Table 5-18 and Table 5-19).

Indirect N₂O Emissions

This section describes the methods used for estimating indirect soil N_2O emissions from croplands and grasslands. Indirect N_2O emissions occur when mineral N made available through anthropogenic activity is transported from the soil either in gaseous or aqueous forms and later converted into N_2O. There are two pathways leading to indirect emissions. The first pathway results from volatilization of N as NO_x and NH_3 following application of synthetic fertilizer, organic amendments (e.g., manure, sewage sludge), and deposition of PRP manure. N made available from mineralization of soil organic matter and residue, including N incorporated into crops and forage from symbiotic N fixation, and input of N from asymbiotic fixation also contributes to volatilized N emissions. Volatilized N can be returned to soils through atmospheric deposition, and a portion of the deposited N is emitted to the atmosphere as N_2O. The second pathway occurs via leaching and runoff of soil N (primarily in the form of NO_3) that was made available through anthropogenic activity on managed lands, mineralization of soil organic matter and residue, including N incorporated into crops and forage from symbiotic N fixation, and inputs of N into the soil from asymbiotic fixation. The NO_3^- is subject to denitrification in water bodies, which leads to N_2O emissions. Regardless of the eventual location of the indirect N_2O emissions, the emissions are assigned to the original source of the N for reporting purposes, which here includes croplands and grasslands.

Indirect N₂O Emissions from Atmospheric Deposition of Volatilized N

The Tier 3 DAYCENT model and IPCC (2006) Tier 1 methods were combined to estimate the amount of N that was volatilized and eventually emitted as N_2O. DAYCENT was used to estimate N volatilization for land areas whose direct emissions were simulated with DAYCENT (i.e., most commodity and some specialty crops and most grasslands). The N inputs included are the same as described for direct N_2O emissions in the Tier 3 Approach for Cropland Mineral Soils Section and Grasslands Section. N volatilization for all other areas was estimated using the Tier 1 method and default IPCC fractions for N subject to volatilization (i.e., N inputs on croplands not simulated by DAYCENT, PRP manure N excreted on federal grasslands, sewage sludge application on grasslands). For the volatilization data generated from both the DAYCENT and Tier 1 approaches, the IPCC (2006) default emission factor was used to estimate indirect N_2O emissions occurring due to re-deposition of the volatilized N (Table 5-21).

Indirect N₂O Emissions from Leaching/Runoff

As with the calculations of indirect emissions from volatilized N, the Tier 3 DAYCENT model and IPCC (2006) Tier 1 method were combined to estimate the amount of N that was subject to leaching and surface runoff into water bodies, and eventually emitted as N_2O. DAYCENT was used to simulate the amount of N transported from lands in the Tier 3 Approach. N transport from all other areas was estimated using the Tier 1 method and the IPCC (2006) default factor for the proportion of N subject to leaching and runoff. This N transport estimate includes N applications on croplands that were not simulated by DAYCENT, sewage sludge amendments on grasslands, and PRP manure N excreted on federal grasslands. For both the DAYCENT Tier 3 and IPCC (2006) Tier 1 methods, nitrate leaching was assumed to be an insignificant source of indirect N_2O in cropland and grassland systems in arid regions as discussed in IPCC (2006). In the United States, the threshold for significant nitrate leaching is based on the potential evapotranspiration (PET) and rainfall amount, similar to IPCC (2006), and is assumed to be negligible in regions where the amount of precipitation plus irrigation does not exceed 80 percent of PET. For leaching and runoff data estimated by the Tier 3 and Tier 1 approaches, the IPCC (2006) default emission factor was used to estimate indirect N_2O emissions that occur in groundwater and waterways (Table 5-21).

Uncertainty and Time-Series Consistency

Uncertainty was estimated for each of the following five components of N_2O emissions from agricultural soil management: (1) direct emissions simulated by DAYCENT; (2) the components of indirect emissions (N volatilized

1 and leached or runoff) simulated by DAYCENT; (3) direct emissions approximated with the IPCC (2006) Tier 1
2 method; (4) the components of indirect emissions (N volatilized and leached or runoff) approximated with the IPCC
3 (2006) Tier 1 method; and (5) indirect emissions estimated with the IPCC (2006) Tier 1 method. Uncertainty in
4 direct emissions, which account for the majority of N_2O emissions from agricultural management, as well as the
5 components of indirect emissions calculated by DAYCENT were estimated with a Monte Carlo Analysis,
6 addressing uncertainties in model inputs and structure (i.e., algorithms and parameterization) (Del Grosso et al.
7 2010). Uncertainties in direct emissions calculated with the IPCC (2006) Tier 1 method, the proportion of
8 volatilization and leaching or runoff estimated with the IPCC (2006) Tier 1 method, and indirect N_2O emissions
9 were estimated with a simple error propagation approach (IPCC 2006). Uncertainties from the Tier 1 and Tier 3
10 (i.e., DAYCENT) estimates were combined using simple error propagation (IPCC 2006). Additional details on the
11 uncertainty methods are provided in Annex 3.11. The combined uncertainty for direct soil N_2O emissions ranged
12 from 16 percent below to 26 percent above the 2013 emissions estimate of 224.7 MMT CO_2 Eq., and the combined
13 uncertainty for indirect soil N_2O emissions ranged from 46 percent below to 160 percent above the 2013 estimate of
14 39.0 MMT CO_2 Eq.

15 **Table 5-22: Quantitative Uncertainty Estimates of N_2O Emissions from Agricultural Soil**
16 **Management in 2013 (MMT CO_2 Eq. and Percent)**

Source	Gas	2013 Emission Estimate (MMT CO_2 Eq.)	Uncertainty Range Relative to Emission Estimate			
			(MMT CO_2 Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Direct Soil N_2O Emissions	N_2O	224.7	189.2	282.4	-16%	26%
Indirect Soil N_2O Emissions	N_2O	39.0	21.2	101.6	-46%	160%

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.

Note: Due to lack of data, uncertainties in managed manure N production, PRP manure N production, other organic fertilizer amendments, and sewage sludge amendments to soils are currently treated as certain; these sources of uncertainty will be included in future Inventories.

17 Additional uncertainty is associated with the lack of an estimation of N_2O emissions for croplands and grasslands in
18 Hawaii and Alaska, with the exception of drainage for organic soils in Hawaii. Agriculture is not extensive in either
19 state, so the emissions are likely to be small compared to the conterminous United States.

20 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990
21 through 2013. Details on the emission trends through time are described in more detail in the Methodology section
22 above.

23 # QA/QC and Verification

24 DAYCENT results for N_2O emissions and NO_3^- leaching were compared with field data representing various
25 cropland and grassland systems, soil types, and climate patterns (Del Grosso et al. 2005, Del Grosso et al. 2008), and
26 further evaluated by comparing to emission estimates produced using the IPCC (2006) Tier 1 method for the same
27 sites. N_2O measurement data were available for 21 sites in the United States, 4 in Europe, and one in Australia,
28 representing over 60 different combinations of fertilizer treatments and cultivation practices. DAYCENT estimates
29 of N_2O emissions were closer to measured values at most sites compared to the IPCC Tier 1 estimate (Figure 5-7).
30 In general, IPCC Tier 1 methodology tends to over-estimate emissions when observed values are low and under-
31 estimate emissions when observed values are high, while DAYCENT estimates are less biased. DAYCENT
32 accounts for key site-level factors (weather, soil characteristics, and management) that are not addressed in the IPCC
33 Tier 1 Method, and thus the model is better able to represent the variability in N_2O emissions. Nitrate leaching data
34 were available for four sites in the United States, representing 12 different combinations of fertilizer
35 amendments/tillage practices. DAYCENT does have a tendency to under-estimate very high N_2O emission rates;
36 estimates are increased to correct for this bias based on a statistical model derived from the comparison of model
37 estimates to measurements (See Annex 3.11 for more information). Regardless, the comparison demonstrates that
38 DAYCENT provides relatively high predictive capability for N_2O emissions and NO_3^- leaching, and is an
39 improvement over the IPCC Tier 1 method.

Figure 5-7: Comparison of Measured Emissions at Field Sites and Modeled Emissions Using the DAYCENT Simulation Model and IPCC Tier 1 Approach.
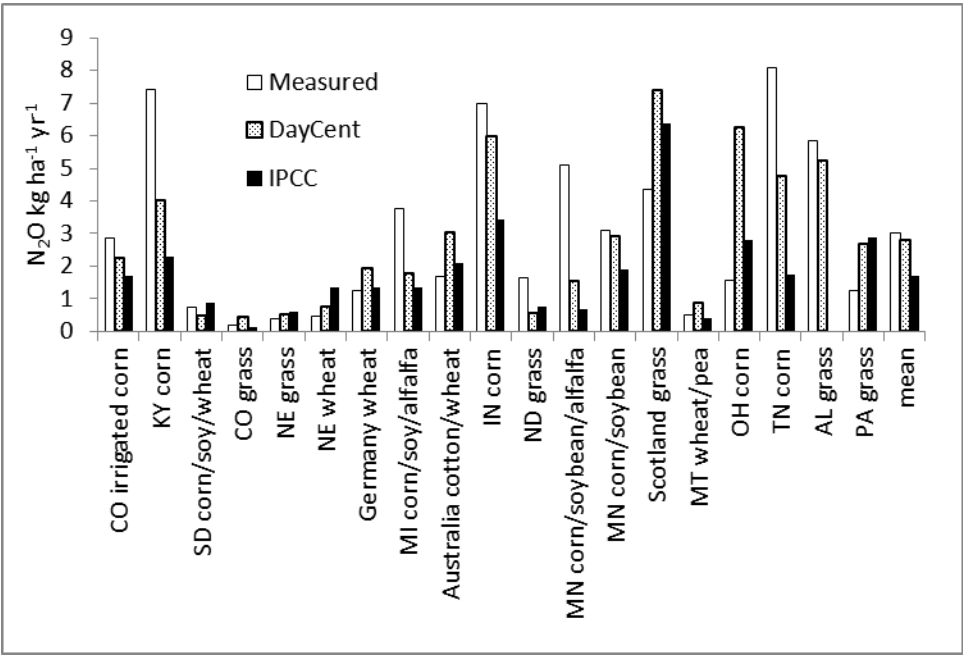
Spreadsheets containing input data and probability distribution functions required for DAYCENT simulations of croplands and grasslands and unit conversion factors were checked, as were the program scripts that were used to run the Monte Carlo uncertainty analysis. Links between spreadsheets were checked, updated, and corrected when necessary. Spreadsheets containing input data, emission factors, and calculations required for the Tier 1 approach were checked and an error was found relating to residue N inputs. Some crops that were simulated by DAYCENT were also included in the Tier 1 method. To correct this double-counting of N inputs, residue inputs from crops simulated by DAYCENT were removed from the Tier 1 calculations.

Recalculations Discussion

For the current Inventory, emission estimates have been revised to reflect the GWPs provided in the IPCC Fourth Assessment Report (AR4) (IPCC 2007). AR4 GWP values differ slightly from those presented in the IPCC Second Assessment Report (SAR) (IPCC 1996) (used in the previous inventories) which results in time-series recalculations for most inventory sources. Under the most recent reporting guidelines (UNFCCC 2014), countries are required to report using the AR4 GWPs, which reflect an updated understanding of the atmospheric properties of each greenhouse gas. The GWPs of CH_4 and most fluorinated greenhouse gases have increased, leading to an overall increase in CO_2-equivalent emissions from CH_4, HFCs, PFCs, and NF_3. The GWPs of N_2O and SF_6 have decreased, leading to a decrease in CO_2-equivalent emissions for N_2O. The AR4 GWPs have been applied across the entire time series for consistency. For more information please see the Recalculations Chapter.

Methodological recalculations in the current Inventory were associated with the following improvements: 1) Driving the DAYCENT simulations with updated input data for the excretion of C and N onto PRP and N additions from managed manure based on national livestock population (note that revised total PRP N additions decreased from 4.4 to 4.1 MMT N on average and revised managed manure additions decreased from 2.9 to 2.7 MMT N on average); 2) properly accounting for N inputs from residues for crops not simulated by DAYCENT; (3) modifying the number of experimental study sites used to quantify model uncertainty for direct N_2O emissions and bias correction; and (4) reporting indirect N_2O emissions from forestland and settlements in their respective sections, instead of the agricultural soil management section. These changes resulted in a decrease in emissions of approximately 18 per cent on average relative to the previous Inventory and a decrease in the upper bound of the 95 percent confidence

1 interval for direct N_2O emissions from 29 to 26 percent. The differences are mainly due to changing the number of
2 study sites used to quantify model uncertainty and correct bias. Specifically, two sites were removed because they
3 had a relatively small number of daily N_2O measurements, which tended to be anomalously high, so the validity of
4 extrapolating annual emission estimates was questionable for those data.

Planned Improvements

6 Several planned improvements are underway:

7 (1) To update the time series of land use and management data from the 2010 USDA NRI so that it is
8 extended from 2008 through 2010. Fertilization and tillage activity data will also be updated as part of
9 this improvement. The remote-sensing based data on the Enhanced Vegetation Index will be extended
10 through 2010 in order to use the EVI data to drive crop production in DAYCENT. The update will
11 extend the time series of activity data for the Tier 2 and 3 analyses through 2010, and incorporate the
12 latest changes in agricultural production for the United States;
13 (2) Improvements are planned for the DAYCENT biogeochemical model. Model structure will be
14 improved with a better representation of plant phenology, particularly senescence events following
15 grain filling in crops, such as wheat. In addition, crop parameters associated with temperature effects on
16 plant production will be further improved in DAYCENT with additional model calibration.
17 Experimental study sites will continue to be added for quantifying model structural uncertainty. Studies
18 that have continuous (daily) measurements of N_2O (e.g., Scheer et al. 2013) will be given priority
19 because they provide more robust estimates of annual emissions compared to studies that sample trace
20 gas emissions weekly or less frequently;
21 (3) To account for the use of fertilizers formulated with nitrification inhibitors in addition to slow-release
22 fertilizers (e.g., polymer-coated fertilizers). Field data suggests that nitrification inhibitors and slow-
23 release fertilizers reduce N_2O emissions significantly. The DAYCENT model can represent nitrification
24 inhibitors and slow-release fertilizers, but accounting for these in national simulations is contingent on
25 testing the model with a sufficient number of field studies and collection of activity data about the use
26 of these fertilizers;
27 (4) To simulate crop residue burning in the DAYCENT based on the amount of crop residues burned
28 according to the data that is used in the *Field Burning of Agricultural Residues* source category (Section
29 5.5). The methodology for *Field Burning of Agricultural Residues* was significantly updated recently,
30 but the new estimates of crop residues burned have not been incorporated into the *Agricultural Soil*
31 *Management* source. Moreover, the data have only been used to reduce the N_2O after DAYCENT
32 simulations in the current Inventory, but the planned improvement is to drive the simulations with
33 burning events based on the new spatial data that is used in Section 5.5; and
34 (5) Alaska and Hawaii are not included in the current Inventory for agricultural soil management, with the
35 exception of N_2O emissions from drained organic soils in croplands and grasslands for Hawaii. A
36 planned improvement over the next two years is to add these states into the Inventory analysis.

5.5 Field Burning of Agricultural Residues (IPCC Source Category 4F)

39 Crop production results in both harvested product(s) and large quantities of agricultural crop residues, which farmers
40 manage in a variety of ways. For example, crop residues can be: left on or plowed into the field; collected and used
41 as fuel, animal bedding material, supplemental animal feed, or construction material; composted and applied to
42 soils; landfilled; or, as discussed in the chapter, burned in the field. Field burning of crop residues is not considered
43 a net source of CO_2, because the C released to the atmosphere as CO_2 during burning is assumed to be reabsorbed
44 during the next growing season. Crop residue burning is, however, a net source of CH_4, N_2O, CO, and NO_x, which
45 are released during combustion.

1   In the United States, field burning of agricultural residues commonly occurs in the southeastern states, the Great
2   Plains, and the Pacific Northwest (McCarty 2011). The primary crops whose residues may be burned are corn,
3   cotton, lentils, rice, soybeans, sugarcane, and wheat (McCarty 2009) where rice, sugarcane, and wheat residues
4   account for approximately 70 percent of all crop residue burning and emissions (McCarty 2011). In 2013, CH_4 and
5   N_2O emissions from Field Burning of Agricultural Residues were 0.3 MMT CO_2 Eq. (12 kt) and 0.1 MMT. CO_2 Eq.
6   (0.3 kt), respectively. Annual emissions from this source from 1990 to 2013 have remained relatively constant,
7   averaging approximately 0.3 MMT CO_2 Eq. (12 kt) of CH_4 and 0.1 MMT CO_2 Eq. (0.3 kt) of N_2O (see Table 5-23
8   and Table 5-24).

9   **Table 5-23: CH_4 and N_2O Emissions from Field Burning of Agricultural Residues (MMT CO_2**
10 **Eq.)**

Gas/Crop Type	1990	2005	2009	2010	2011	2012	2013
CH_4	**0.3**	**0.2**	**0.3**	**0.3**	**0.3**	**0.3**	**0.3**
Corn	+	+	+	+	+	+	+
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	+	+	0.1	0.1	0.1	0.1	0.1
Soybeans	+	+	+	+	+	+	+
Sugarcane	0.1	+	+	+	+	+	+
Wheat	0.2	0.1	0.1	0.1	0.1	0.1	0.1
N_2O	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**	**0.1**
Corn	+	+	+	+	+	+	+
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	+	+	+	+	+	+	+
Soybeans	+	+	+	+	+	+	+
Sugarcane	+	+	+	+	+	+	+
Wheat	+	+	+	+	+	+	+
Total	**0.4**	**0.3**	**0.4**	**0.3**	**0.4**	**0.4**	**0.4**

Note: Emissions values are presented in CO_2 equivalent mass units using IPCC AR4 GWP values.
+ Less than 0.05 MMT CO_2 Eq.
Note: Totals may not sum due to independent rounding.

11 **Table 5-24: CH_4, N_2O, CO, and NO_x Emissions from Field Burning of Agricultural Residues**
12 **(kt)**

Gas/Crop Type	1990	2005	2009	2010	2011	2012	2013
CH_4	**13**	**9**	**12**	**11**	**12**	**12**	**12**
Corn	1	1	2	2	2	2	2
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	2	2	2	2	2	2	2
Soybeans	1	1	1	1	1	1	1
Sugarcane	3	1	2	2	2	2	2
Wheat	6	4	5	5	5	5	5
N_2O	**+**	**+**	**+**	**+**	**+**	**+**	**+**
Corn	+	+	+	+	+	+	+
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	+	+	+	+	+	+	+
Soybeans	+	+	+	+	+	+	+
Sugarcane	+	+	+	+	+	+	+
Wheat	+	+	+	+	+	+	+
CO	**268**	**184**	**247**	**241**	**255**	**253**	**262**
NO_x	**8**	**6**	**8**	**8**	**8**	**8**	**8**

+ Less than 0.5 kt.
Note: Totals may not sum due to independent rounding.

Methodology

A U.S.-specific Tier 2 method was used to estimate greenhouse gas emissions from Field Burning of Agricultural Residues. The Tier 2 methodology used is consistent with the *2006 IPCC Guidelines* (for more details, see Box 5-3). In order to estimate the amounts of C and N released during burning, the following equation was used:

$$\text{C or N released} = \Sigma \text{ for all crop types and states} \left[\frac{AB}{CAH \times CP \times RCR \times DMF \times BE \times CE \times (FC \text{ or } FN)} \right]$$

where,

Area Burned (AB)	=	Total area of crop burned, by state
Crop Area Harvested (CAH)	=	Total area of crop harvested, by state
Crop Production (CP)	=	Annual production of crop in kt, by state
Residue:Crop Ratio (RCR)	=	Amount of residue produced per unit of crop production
Dry Matter Fraction (DMF)	=	Amount of dry matter per unit of biomass for a crop
Fraction of C or N (FC or FN)	=	Amount of C or N per unit of dry matter for a crop
Burning Efficiency (BE)	=	The proportion of prefire fuel biomass consumed[221]
Combustion Efficiency (CE)	=	The proportion of C or N released with respect to the total amount of C or N available in the burned material, respectively

Crop Production and Crop Area Harvested were available by state and year from USDA (2014) for all crops (except rice in Florida and Oklahoma, as detailed below). The amount C or N released was used in the following equation to determine the CH_4, CO, N_2O and NO_x emissions from the field burning of agricultural residues:

$$CH_4 \text{ and CO, or } N_2O \text{ and } NO_x \text{ Emissions from Field Burning of Agricultural Residues} =$$

$$\text{C or N Released} \times \text{ER for C or N} \times \text{CF}$$

where,

Emissions Ratio (ER)	=	g CH_4-C or CO-C/g C released, or g N_2O-N or NO_x-N/g N released
Conversion Factor (CF)	=	conversion, by molecular weight ratio, of CH_4-C to C (16/12), or CO-C to C (28/12), or N_2O-N to N (44/28), or NO_x-N to N (30/14)

Box 5-3: Comparison of Tier 2 U.S. Inventory Approach and IPCC (2006) Default Approach

Emissions from Field Burning of Agricultural Residues were calculated using a Tier 2 methodology that is based on IPCC/UNEP/OECD/IEA (1997) and incorporates crop- and country-specific emission factors and variables. The rationale for using the IPCC/UNEP/OECD/IEA (1997) approach, and not the IPCC (2006) approach, is as follows: (1) the equations from both guidelines rely on the same underlying variables (though the formats differ); (2) the IPCC (2006) equation was developed to be broadly applicable to all types of biomass burning, and, thus, is not specific to agricultural residues; and (3) the IPCC (2006) default factors are provided only for four crops (corn, rice, sugarcane, and wheat) while this Inventory analyzes emissions from seven crops (corn, cotton, lentils, rice, soybeans, sugarcane, and wheat).

A comparison of the methods and factors used in: (1) The current Inventory and (2) the default IPCC (2006) approach was undertaken in the 1990 through 2013 Inventory report to determine the difference in overall estimates between the two approaches. To estimate greenhouse gas emissions from Field Burning of Agricultural Residue using the IPCC (2006) methodology, the following equation—cf. IPCC (2006) Equation 2.27—was used:

$$\text{Emissions (kt)} = AB \times (M_B \times C_f) \times G_{ef} \times 10^{-6}$$

where,

Area Burned (AB)	=	Total area of crop burned (ha)

---

[221] In IPCC/UNEP/OECD/IEA (1997), the equation for C or N released contains the variable 'fraction oxidized in burning'. This variable is equivalent to (burning efficiency $\times$ combustion efficiency).

1	Mass Burned ($M_B \times C_f$)	= IPCC (2006) default fuel biomass consumption (metric tons dry matter burnt
2		ha^{-1})
3	Emission Factor (G_{ef})	= IPCC (2006) emission factor (g kg^{-1} dry matter burnt)

4 The IPCC (2006) default approach resulted in 5 percent higher emissions of CH_4 and 21 percent higher emissions of
5 N_2O than the estimates in this Inventory (and are within the uncertainty percentage ranges estimated for this source
6 category). It is reasonable to maintain the current methodology, since the IPCC (2006) defaults are only available
7 for four crops and are worldwide average estimates, while current estimates are based on U.S.-specific, crop-
8 specific, published data.

9

10 Crop production data for all crops (except rice in Florida and Oklahoma) were taken from USDA's QuickStats
11 service (USDA 2014). Rice production and area data for Florida and Oklahoma were estimated separately as they
12 are not collected by USDA. Average primary and ratoon rice crop yields for Florida (Schueneman and Deren 2002)
13 were applied to Florida acreages (Schueneman 1999, 2000, 2001; Deren 2002; Kirstein 2003, 2004; Cantens 2004,
14 2005; Gonzalez 2007 through 2014), and rice crop yields for Arkansas (USDA 2014) were applied to Oklahoma
15 acreages[222] (Lee 2003 through 2007; Anderson 2008 through 2014). The production data for the crop types whose
16 residues are burned are presented in Table 5-25. Crop weight by bushel was obtained from Murphy (1993).

17 The fraction of crop area burned was calculated using data on area burned by crop type and state[223] from McCarty
18 (2010) for corn, cotton, lentils, rice, soybeans, sugarcane, and wheat.[224] McCarty (2010) used remote sensing data
19 from Moderate Resolution Imaging Spectroradiometer (MODIS) to estimate area burned by crop. State-level area
20 burned data were divided by state-level crop area harvested data to estimate the percent of crop area burned by crop
21 type for each state. The average fraction of area burned by crop type across all states is shown in Table 5-26. As
22 described above, all crop area harvested data were from USDA (2014), except for rice acreage in Florida and
23 Oklahoma, which is not measured by USDA (Schueneman 1999, 2000, 2001; Deren 2002; Kirstein 2003, 2004;
24 Cantens 2004, 2005; Gonzalez 2007 through 2014; Lee 2003 through 2007; Anderson 2008 through 2014). Data on
25 crop area burned were only available from McCarty (2010) for the years 2003 through 2007. For other years in the
26 time series, the percent area burned was set equal to the average five-year percent area burned, based on data
27 availability and inter-annual variability. This average was taken at the crop and state level. Table 5-26 shows these
28 percent area estimates aggregated for the United States as a whole, at the crop level. State-level estimates based on
29 state-level crop area harvested and area burned data were also prepared, but are not presented here.

30 All residue:crop product mass ratios except sugarcane and cotton were obtained from Strehler and Stützle (1987).
31 The ratio for sugarcane is from Kinoshita (1988) and the ratio for cotton is from Huang et al. (2007). The
32 residue:crop ratio for lentils was assumed to be equal to the average of the values for peas and beans. Residue dry
33 matter fractions for all crops except soybeans, lentils, and cotton were obtained from Turn et al. (1997). Soybean
34 and lentil dry matter fractions were obtained from Strehler and Stützle (1987); the value for lentil residue was
35 assumed to equal the value for bean straw. The cotton dry matter fraction was taken from Huang et al. (2007). The
36 residue C contents and N contents for all crops except soybeans and cotton are from Turn et al. (1997). The residue
37 C content for soybeans is the IPCC default (IPCC/UNEP/OECD/IEA 1997). The N content of soybeans is from
38 Barnard and Kristoferson (1985). The C and N contents of lentils were assumed to equal those of soybeans. The C
39 and N contents of cotton are from Lachnicht et al. (2004). These data are listed in Table 5-27. The burning
40 efficiency was assumed to be 93 percent, and the combustion efficiency was assumed to be 88 percent, for all crop
41 types, except sugarcane (EPA 1994). For sugarcane, the burning efficiency was assumed to be 81 percent
42 (Kinoshita 1988) and the combustion efficiency was assumed to be 68 percent (Turn et al. 1997). Emission ratios
43 and conversion factors for all gases (see Table 5-28) were taken from the *Revised 1996 IPCC Guidelines*
44 (IPCC/UNEP/OECD/IEA 1997).

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[222] Rice production yield data are not available for Oklahoma, so the Arkansas values are used as a proxy.

[223] Alaska and Hawaii were excluded.

[224] McCarty (2009) also examined emissions from burning of Kentucky bluegrass and a general "other crops/fallow" category, but USDA crop area and production data were insufficient to estimate emissions from these crops using the methodology employed in the Inventory. McCarty (2009) estimates that approximately 18 percent of crop residue emissions result from burning of the Kentucky bluegrass and "other crops" categories.

Table 5-25: Agricultural Crop Production (kt of Product)

Crop	1990	2005	2009	2010	2011	2012	2013
Corn[a]	1,534	282,263	332,549	316,165	313,949	273,832	353,715
Cotton	3,376	5,201	2,654	3,942	3,391	3,770	2,811
Lentils	40	238	265	393	215	240	228
Rice	7,114	10,132	9,972	11,027	8,389	9,048	8,613
Soybeans	52,416	83,507	91,417	90,605	84,192	82,055	89,507
Sugarcane	25,525	24,137	27,608	24,821	26,512	29,193	27,906
Wheat	74,292	57,243	60,366	60,062	54,413	61,755	57,961

[a] Corn for grain (i.e., excludes corn for silage).

Table 5-26: U.S. Average Percent Crop Area Burned by Crop (Percent)

State	1990	2005	2009	2010	2011	2012	2013
Corn	+	+	+	+	+	+	+
Cotton	1%	1%	1%	1%	1%	1%	1%
Lentils	3%	+	1%	+	1%	1%	1%
Rice	10%	6%	9%	8%	10%	9%	9%
Soybeans	+	+	+	+	+	+	+
Sugarcane	59%	26%	37%	38%	40%	37%	38%
Wheat	3%	2%	3%	3%	3%	3%	3%

+ Less than 0.5 percent

Table 5-27: Key Assumptions for Estimating Emissions from Field Burning of Agricultural Residues

Crop	Residue:Crop Ratio	Dry Matter Fraction	C Fraction	N Fraction	Burning Efficiency (Fraction)	Combustion Efficiency (Fraction)
Corn	1.0	0.91	0.448	0.006	0.93	0.88
Cotton	1.6	0.90	0.445	0.012	0.93	0.88
Lentils	2.0	0.85	0.450	0.023	0.93	0.88
Rice	1.4	0.91	0.381	0.007	0.93	0.88
Soybeans	2.1	0.87	0.450	0.023	0.93	0.88
Sugarcane	0.2	0.62	0.424	0.004	0.81	0.68
Wheat	1.3	0.93	0.443	0.006	0.93	0.88

Table 5-28: Greenhouse Gas Emission Ratios and Conversion Factors

Gas	Emission Ratio	Conversion Factor
CH_4:C	0.005[a]	16/12
CO:C	0.060[a]	28/12
N_2O:N	0.007[b]	44/28
NO_x:N	0.121[b]	30/14

[a] Mass of C compound released (units of C) relative to mass of total C released from burning (units of C).
[b] Mass of N compound released (units of N) relative to mass of total N released from burning (units of N).

Uncertainty and Time-Series Consistency

Due to data limitations, uncertainty resulting from the fact that emissions from burning of Kentucky bluegrass and "other crop" residues are not included in the emissions estimates was not incorporated into the uncertainty analysis. The results of the Tier 2 Monte Carlo uncertainty analysis are summarized in Table 5-29. CH_4 emissions from Field Burning of Agricultural Residues in 2013 were estimated to be between 0.2 and 0.4 MMT CO_2 Eq. at a 95 percent

confidence level. This indicates a range of 41 percent below and 42 percent above the 2013 emission estimate of 0.3 MMT CO_2 Eq.[225] Also at the 95 percent confidence level, N_2O emissions were estimated to be between 0.07 and 0.14 MMT CO_2 Eq., or approximately 30 percent below and 31 percent above the 2013 emission estimate of 0.10 MMT CO_2 Eq.

Table 5-29: Tier 2 Quantitative Uncertainty Estimates for CH_4 and N_2O Emissions from Field Burning of Agricultural Residues (MMT CO_2 Eq. and Percent)

Source	Gas	2013 Emission Estimate (MMT CO_2 Eq.)	Uncertainty Range Relative to Emission Estimate[a] (MMT CO_2 Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Field Burning of Agricultural Residues	CH_4	0.3	0.2	0.4	-41%	42%
Field Burning of Agricultural Residues	N_2O	0.1	0.1	0.1	-30%	31%

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2013. Details on the emission trends through time are described in more detail in the Methodology section, above.

QA/QC and Verification

A source-specific QA/QC plan for field burning of agricultural residues was implemented. This effort included a Tier 1 analysis, as well as portions of a Tier 2 analysis. The Tier 2 procedures focused on comparing trends across years, states, and crops to attempt to identify any outliers or inconsistencies. For some crops and years in Florida and Oklahoma, the total area burned as measured by McCarty (2010) was greater than the area estimated for that crop, year, and state by Gonzalez (2004-2008) and Lee (2007) for Florida and Oklahoma, respectively, leading to a percent area burned estimate of greater than 100 percent. In such cases, it was assumed that the percent crop area burned for that state was 100 percent.

Recalculations Discussion

For the current Inventory, emission estimates have been revised to reflect the GWPs provided in the IPCC Fourth Assessment Report (AR4) (IPCC 2007). AR4 GWP values differ slightly from those presented in the IPCC Second Assessment Report (SAR) (IPCC 1996) (used in the previous inventories) which results in time-series recalculations for most inventory sources. Under the most recent reporting guidelines (UNFCCC 2014), countries are required to report using the AR4 GWPs, which reflect an updated understanding of the atmospheric properties of each greenhouse gas. The GWPs of CH_4 and most fluorinated greenhouse gases have increased, leading to an overall increase in CO_2-equivalent emissions from CH_4. The GWPs of N_2O and SF_6 have decreased, leading to a decrease in CO_2-equivalent emissions for N_2O. The AR4 GWPs have been applied across the entire time series for consistency. For more information please see the Recalculations Chapter. As a result of the updated GWP values, emissions estimates for each year in 1990 through 2012 increased by 19 percent for CH_4 and decreased by 4 percent for N_2O relative to the emissions estimates in previous Inventory reports. Rice cultivation data for Florida and Oklahoma, which are not reported by USDA, were updated for 2013 through communications with state experts (Gonzales 2014, Anderson 2014).

Planned Improvements

Further investigation will be conducted into inconsistent area burned data from Florida and Oklahoma as mentioned in the QA/QC and verification section, and attempts will be made to revise or further justify the assumption of 100 percent of area burned for those crops and years where the estimated percent area burned exceeded 100 percent.

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[225] This value of 0.31 MMT CO_2 is rounded and reported as 0.3 MMT CO_2 in Table 6-21 and the text discussing Table 6-21. For the uncertainty calculations, the value of 0.31 MMT CO_2 was used to allow for more precise uncertainty ranges.

1   The availability of useable area harvested and other data for Kentucky bluegrass and the "other crops" category in
2   McCarty (2010) will also be investigated in order to try to incorporate these emissions into past and future estimates.
3   More crop area burned data and new data to estimate crop-specific burning efficiency and consumption efficiency
4   are becoming available, and will be analyzed for incorporation into future Inventory reports.